



LGMSD 2022/23

Namutumba
District

(Vote Code: 574)

Assessment	Scores
Crosscutting Minimum Conditions	61%
Education Minimum Conditions	100%
Health Minimum Conditions	100%
Water & Environment Minimum Conditions	100%
Micro-scale Irrigation Minimum Conditions	30%
Crosscutting Performance Measures	71%
Educational Performance Measures	82%
Health Performance Measures	73%
Water & Environment Performance Measures	85%
Micro-scale Irrigation Performance Measures	68%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>There was evidence that infrastructure projects implemented using DDEG funding were functional and utilised. The projects implemented included;</p> <ul style="list-style-type: none"> Reconstruction of council hall. at Contract price Ugx. 44,885,961 Partial fencing of administration offices-southern wing at contracts sum=Ugx 74,141,760 . <p>A visit to the reconstructed Council hall found it in use and the fence was equally in use.</p>	4
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>The average score in the overall LLG performance assessment increased from previous assessment.</p> <ul style="list-style-type: none"> By more than 5%, score 3 1 to 5% increase, score 2 If no increase, score 0 <p>NB: If the previous average score was 95% and above, Score 3 for any increase.</p>	<p>The average performance in 2022 was 53%</p> <p>The average performance in 2023 was 52%</p> <p>Which presented a decline of 1%</p>	0
2	<p>N23_Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>The investment projects implemented using DDEG last FY were 100% completed according to the ABPR for FY 2022/2023. The projects implemented projects included;</p> <ul style="list-style-type: none"> Reconstruction of council hall. Partial fencing of administration offices-southern wing 	3

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	The investment projects implemented using DDEG (Ugx 119,026,721) last FY were all eligible according to the DDEG guidelines page 12 and 13 of the guidelines. The investments included; ● Reconstruction of council hall at Ugx 44,885,961 ● Partial fencing of administration offices- Southern wing Ugx 74,141,760	2
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	The variations in the contracts price for sampled DDEG infrastructure projects were as shown below; Reconstruction of administration block council hall wing roof and ceiling. Contracts sum = Ugx 44,885,961 Engineers estimate = Ugx 45,000,000 % variation =-0.25% Partial fencing of administration offices-southern wing. Contracts sum = Ugx 74,141,760 Engineers estimate = Ugx 80,000,000 % Variation = -7.32% Fabrication and installation of notice boards at the district offices. Contract sum = Ugx 3,991,940 Engineers estimate = Ugx 4,000,000 % Variation = -0.2%	2

Performance Reporting and Performance Improvement

4	Accuracy of reported information Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0	Evidence showed that information on the position filled in the LLGs was not accurate as per minimum staffing standards in the 3 sampled LLGs; for instance Namutumba T.C workplace staff list had 25 personnel yet the District staff list had 22, and Kibaale S/C workplace staff list had 12 officers the District staff list had 9 and Nsinze S/C workplace had 8 officers and the District staff list had 6.	0
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4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>There was evidence that infrastructure projects implemented using DDEG funding were in place as per the Annual Budget Performance Report, FY 2022/2023. The projects implemented included;</p> <ul style="list-style-type: none"> ● Reconstruction of council hall. at Contract price Ugx. 44,885,961 ● Partial fencing of administration offices-southern wing at contracts sum=Ugx 74,141,760 . <p>The assessment visited the Council hall and the renovated hall was indeed in place and in use..</p>	2										
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p> <p>NB: The Source is the OPAMS Data Generated by OPM.</p>	<p>The four sampled LLGs obtained the scores below in the LGs Performance Assessment and the LLG IVA which were within the -/+ 10 performance range hence the assessment was credible</p> <table border="1" data-bbox="842 882 1171 1137"> <thead> <tr> <th></th> <th>DLG IVA</th> </tr> </thead> <tbody> <tr> <td>Kibaale S/C</td> <td>75 81</td> </tr> <tr> <td>Nsinze S/C</td> <td>49 56</td> </tr> <tr> <td>Namutumba T/C</td> <td>83 92</td> </tr> <tr> <td>Nabweyo S/C</td> <td>67 74.</td> </tr> </tbody> </table>		DLG IVA	Kibaale S/C	75 81	Nsinze S/C	49 56	Namutumba T/C	83 92	Nabweyo S/C	67 74.	4
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5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was no evidence availed at the time of assessment.</p>	0										
5	<p>N23_Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence availed at the time of assessment.</p>	0										

Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>Evidence availed showed that Namutumba DLG submitted staffing requirements for FY 2024,25 on 27/9/2023 and MoPS acknowledged receipt on 29/9/2023 (Submission of Recruitment Plan for FY 2024/25).</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>Evidence of analysis reports were on file for both LLGs and those at the headquarters.</p> <p>Evidence also showed that the DLG submitted attendance analysis for FY 2022/23 to MoPS 9th August, 2023 (Ref: CR/Adm/201)</p> <p>Reference was taken from Duty Analysis Attendance for the month of January 2023 which was prepared by the PHRO. According to the duty analysis report for the month of January 2023 revealed that:</p> <ul style="list-style-type: none"> ● Ivaibi Charles (PHRO- Secretary DSC) did not attend any of the days because he was on study leave ● Lwamika Alice, (Records Officer) attended for 18 days thus scoring 85%; ● Basalirwa George, (CFO) attended for 9 thus scoring 42%; ● Babirye Harriet (Ag. District Engineer) attended for 16 days thus scoring 76%. ● Mpwanywa Samuel (Roads Officer) attended for 13 days thus scoring 61%. ● Isiiko Muhammed (DEO) attended for 9 days thus scoring 42%. 	2

Performance management

Maximum 5 points on this Performance Measure

i. Evidence that the LG has conducted an appraisal with the following features:

HODs have been appraised as per guidelines issued by MoPS during the previous

FY: Score 1 or else 0

Evidence showed that the Heads of departments were appraised by the CAO. However, two of them were appraised past the month of June 30th which was late in reference to MoPS CSI;

1. Ikaaba Dauda, (DNRO) was appraised on 30/06/2023

2. Kiirya James, (DHO) was appraised on 30/6/2023.

3. Babita Harriet, (Ag. District Engineer) was appraised on 30/06/2023.

4. Musita Augustus Apollo, (DPO) was appraised on 4/07/2023

5. Naabye Henry, (District Planner) was appraised on 30/06/2023.

6. Babalanda Khalif, (DCDO) was appraised on 28/06/2023.

7. Isiko Mohammed (DEO) was appraised on 1/7/2023

8. Waako Stephen (DCO) was appraised on 30/6/2023

9. Basalirwa George (CFO) was appraised on 30/6/2023.

Performance management

Maximum 5 points on this Performance Measure

ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:

Score 1 or else 0

Namutumba DLG implemented administrative rewards and sanctions on time as provided for in the guidelines.

Committee members were:

- Ms. Kauma Rose Kagere, (chairperson)
- Mr. Isiko Mohammed, (Member)
- Dr. Kirya James, (Member)
- Mr. Musita Apollo, (Member)
- Mr. Kabakubya Samuel, (Member)
- Ms. Kagoya Zainabu, (Member)

Evidence was obtained from the rewards and sanctions committee meeting that sat on 23rd March, 2023 under MIN NO. 03/RSC/03/23. In the meeting, the committee presented a list of 11 people mainly teachers who absconded from duty including; Kato Gerald, Nanzige Bayat, Adong Philomena among others.

The committee therefore resolved that the staff who portrayed continuous absenteeism be re-invited to the committee in the next sitting and these were (Naluswa Ian, Chebet Alfred, Kabaluma Fred and Lubuzanya Zaidi.

Performance management

Maximum 5 points on this Performance Measure

iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.

Score 1 or else 0

Namutumba DLG had established a Consultative Committee (CC) for staff grievance handling formed on 28th/06/2023 and it was functional. Members on the committee included;

1. Mr. Gidongo Peter, (DCAO), Chairperson
2. Ms. Kagoya Zainabu, (PHRO), Secretary
3. Mr. Isiko Mohammed, (DEO), Member
5. Mr. Samanya Paul, (PLO), Member
6. Ms. Nambi Esther, (Secretary UNATU), Mayuge
7. Mr. Ikaaba Dauda, (Workers Union) Namutumba
8. Mr. Ndhaye Richard (Uganda Allied Professional)
9. Mr. Simiyu Isaac, (Chairperson Uganda Nurses and Midwives Union)
10. Mr. Muzaale Henry, (Chairperson UNATU)
11. Dr. Kiirya James, (DHO), Member

Evidence regarding its functionality was obtained from the Minutes of consultative Committee meeting held on 28th/6/ 2023 in the District Boardroom that aimed at induction of new members on the roles of the committee.

Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:

Score 1.

With Namutumba DLG, a sample of 10 recruited officers indicated seven of them had accessed salary payroll in a period of not later than two months. For those that accessed salary payroll within two months included;

1.Kalema Godfrey (Educ. Assistant) at Ivukula P.S who assumed duty on 8/6/2023 and accessed in August 2023.

2.Kiranda Faisali (Educ. Assistant) at Bupaluka P.S who assumed duty on 8/6/2023 and accessed in August 2023.

3.Ngudo Enock (Educ. Assistant) at Nawaikona P.S who assumed duty on 8/6/2023 and accessed in August 2023.

4.Kaggwa James, (Clinical Office) at Bulambi HC III who assumed duty on 29/6/2023 and accessed in August 2023.

5.Ataau Sarah (Parish Chief) at Nagode TC who assumed duty on 3/7/2023 and accessed in September, 2023.

6.Wakida Henry (Parish Chief) at Kizuba S/C who assumed duty on 30/8/2023 and accessed in September, 2023.

7. Luuko George, ((Educ. Assistant) at Nabikabala P.S who assumed duty on 8/6/2023 and accessed in August 2023.

Late access to payroll

1.Mpiya Jamali (Parish Chief) at Kagula S/C who assumed duty on 23/6/2023 and accessed in October, 2023.

2.Mpakibi Naome, (Education Assistant) at Nabuguzi P.S who assumed duty on 8/6/2023 and accessed in September, 2023.

3.Maganda Samuel, (Driver) assumed duty on 31/5/2023 and accessed in August, 2023.

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:

Score 1.

Evidence at the time of assessment revealed that less than 100% of the staff that retired during FY 2022/23, had accessed the pension payroll within a period of not more 2 months' as per the ten sampled officers below;

1. Mutesi Margaret, Nursing Assistant (IPPS No.832362) retired on 28/4/2023 and accessed in June, 2023;

2. Kayale Peter, Deputy Head teacher (IPPS No. 148147) retired on 2/10/2022 and accessed in November, 2022;

3. Kubona Gerald, Education Assistant (IPPS No. 364691) retired on 11/10/2022 and accessed November, 2022;

4. Nabulere Wilberforce, Deputy Headteacher, (IPPS No. 366751) retired on 5/5/2023 and accessed in July, 2023;

5. Magumba Wilberforce, Assistant Education Officer, (IPPS No.366751) retired on 23/3/2023 and accessed in June, 2023;

6. Tingu Patrick, Headteacher (IPPS No. 366447) retired on 4/10/2022 and accessed in November, 2022;

7. Baligeya Peter, Education Assistant (IPPS No. 634996) retired on 9/10/2022 and accessed in November, 2022;

8. Mwavu Patrick Madani, Education Assistant (IPPS No.366647) retired on 13/2/2023 and accessed in May,2023;

9. Namuswa Persis Joy, Education Assistant (IPPS No.365091) retired on 4/4/2023 and accessed in May, 2023;

10. Wayenga Brian, Education Assistant (IPPS No. 1079963) retired on 19/12/2022 and had not accessed.

Management, Monitoring and Supervision of Services.

10

N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:

Score 2 or else score 0

The District transferred DDEG to the 14 Sub-counties and 6 Town Councils in two instalments. In 2nd Qrt. on 3/11/2022 Ugx 92,853,305 and in 3rd Qrt Ugx 185,706,612 as per the requirements of the budget for FY 2022/2023

10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:Note: Timely warranting for a LG means: 5 working days from the date of upload of releases by MoFPED).	The District did timely warranting of DDEG transfers to the LLGs i.e. within 5 working days from the date of receipt from MoFPED. For the 1st transfer done in 2nd Qrt date of receipt of release was 31st/10/2022 while warranting date was on the same date. The 2nd transfer done in 3rd Qrt, the date of receipt of release was 10th/1/2023 while warranting was done on 13th/1/2023.	2
	Maximum 6 points on this Performance Measure	Score: 2 or else score 0		
10	N23_Effective Planning, Budgeting and Transfer of Funds for Service Delivery	c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:	The LG invoiced timely all DDEG transfers within 5 working days. . For the 1st transfer done in 2nd Qrt the date of funds release was 4/11/2022 while invoicing was done on the same date. The 2nd transfer done in 3rd Qrt, the date of funds release was 27/1/2023 while invoicing was done on the same date.	2
	Maximum 6 points on this Performance Measure	Score 2 or else score 0		
11	Routine oversight and monitoring	a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:	The District mentored the LLGs on a quarterly basis. The exercise was carried out by Planer, Natural Resources Officer, CDO and reports were produced. 1st Qrt report was produced on 20/10/2022, 2nd Qrt report on 18/1/2023, 3rd Qrt report on 18/4/2023 and 4th Qrt report was produced on 30th/6/2023. The key areas handled included: i. Layout of the Sub-county 5-year Development Plan ii. Linkage of investment plan with the budget iii. Local revenue generation and management at various levels	2
	Maximum 4 points on this Performance Measure	Score 2 or else score 0		

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The monitoring visits was carried out in the LLGs, reports were generated and presented to TPC for discussion.</p> <p>1st Qrt report was discussed in their meeting on 20th/10/2022 under Min. 05/DTPC/20/10/2022,</p> <p>2nd Qrt. report on 31st/1/2023 under Min. 03/DTPC/31/01/2023,</p> <p>3rd Qrt report on 9th/5/2023 under Min. 09/DTPC/09/05/2023 and the 4th Qrt report on 30th/6/2023 under Min. 02/DTPC/30/06/2023.</p> <p>Some of the recommendations made were: i. Lobbying for a complete Road Unit from the Ministry, ii. The Road Unit needed to be protected by constructing a parking yard, iii. Recruitment of more critical staff like mechanical personnel.</p>	2
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Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The District which was on IFMS maintained an up-dated assets register for Lands, Buildings, Furniture and fittings, etc., each with own details. Details of Land include: Description, Site/Plot No., Block No., Title Deed No., and Current status, Date of acquisition, Purpose, Cost of Land, Vote, Department, Physical Location and Date of acquisition.</p>	2
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The District Board of Survey Report for FY 2021/2022 was produced and submitted to the Accountant General on 12th/8/2022. There were decisions made especially disposing off vehicles. The process was still ongoing by this assessment date. A report on valuation of the vehicles was issued by the Ministry of Works and Transport dated 10th/8/2023. An advert "Invitation to bid under open Domestic Biding" was run in Daily Monitor of 2nd/11/2023. A record of bid opening dated 22nd/11/2023 was availed to the Team as evidence that the process was almost over.</p>	1
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>A ten member's committee appointed on 4/5/2020 was in place. During FY 2022/2023, the committee held four meetings on 20/7/2022, 16/12/2022, 14/3/2023 and 28/6/2023. Much as the Minutes were produced, the set were not submitted to the MoLHUD as required.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p>	<p>A desk appraisal for all the 37 projects in the budget was conducted by the planning department and a report dated 12/8/2022 was produced and forwarded to CAO. In the report, the officers pronounced themselves that all the projects were linked to the development plan e.g. i. Construction of a 4-stance pit latrine at Buwidi Town Centre on page 159, ii. Renovation of 2 staff houses at Nsinze HC III on page 159.</p> <p>The two DDEG projects: fencing of District Administration Office and Re-roofing of Council Wing were in line with the DDEG guidelines as noted on pages 7 and 8</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p>	<p>A report dated 12th/8/2022 was presented for assesment and it indicated that the District officers conducted the field appraisal of the two DDEG projects, namely: Fencing of Administration Office and Re-roofing of Council Wing. In the forms used the officers indicated that appropriate technology was used and that the projects were in line with DDEG guidelines. They finally recommended their funding</p>	2
		<p>Score 2 or else score 0</p>		

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The project profiles for capital development projects for FY 2023/2024 were developed and endorsed by the Senior Planner and CAO.</p> <p>The profiles were presented to the TPC for their discussion in a meeting of 9/5/2023 under Min. 05/DTPC/09/05/2023. The TPC noted that the planning and budgeting process, environmental and mitigation measures had been adequately addressed.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence of screening of DDEG projects for the current FY2023/24 which included;</p> <p>Partial fencing of the southern wing of the District headquarters, completion works of the 4-stances pit latrine at the District headquarters and maintenance of the District ceremonial grounds.</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There was evidence of an approved procurement plan signed by CAO on 1st August 2023 that incorporated DDEG funded projects;</p> <ul style="list-style-type: none"> ● Fencing of District Administration offices phase II of Ugx.75,086,367 on page 9 ● Extension of Maternity ward at Namutumba HCIII of Ugx 133,710,377 on page 9 ● Construction of curtain wall at District headquarters outside sanitary facility at Ugx 5,000,000 on page 9 ● Installation of water in the district headquarters offices washrooms of Ugx 3,500,000 on page 9 	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>There was evidence for contracts committee approving the two DDEG infrastructure projects as shown below;</p> <ul style="list-style-type: none"> ● Extension of maternity ward at Namutumba HC III under Min.07/NDCC/16/08/2023-24-iv on 16th August 2023 ● Fencing of Administration offices under Min.07/NDCC/16/08/2023-24/v on 16th August 2023. 	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0	On the 11th October 2022, there was an appointment by the CAO (Mr. Ofwono Emmanuel) of the project implementation team members for DDEG infrastructure projects. Ms.Babita Harriet (Ag. District engineer) as Contract manager Mr.Babalanda Hadadi Khalif as DCDO Mr.Kiryra Moses as Environment offices Mr.Kumbuga Yusuf as District Labour officer Mr.Mwanje Jessy as Clerk of works.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	The infrastructure works implemented at the council hall and administration offices did not have designs and drawings to follow, however works implemented at the fencing of the administration offices were of good quality with the fence poles and chain link installed.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There was evidence that the LG provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY as per the examples below; ● A status report for reconstruction of the council hall south wing on 21st June 2023 showing the columns and beams being casted and progressing works were ceiling works, electrical and finishes. ● A progress report for fencing of administration offices southern wing on the 20th June 2023 where all works were complete for chain link fencing with concrete poles at a length of 450mm. ● A progress report on 19th April 2023 for partial fencing of administration offices southern wing, ongoing works had reached chain-link installation stage and satisfactorily observed	2

Procurement, contract management/execution
Maximum 8 points on this Performance Measure

f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):

Score 1 or else score 0

There was evidence for certifying works and initiation of payments within specified time frames as indicated below;

- The reconstruction of council hall. The requisition for payment of Ugx 48,000,000 was raised on 19th June 2023. The interim certificate of Ugx 40,359,326 was endorsed by District engineer, Environment officer, Chief Internal Auditor, CDO and the CAO.

- The fencing of administration offices .A Request for payment was raised on 12th June 2023 worth Ugx 21,250,000 by M/s.Masubo General Enterprises Limited. The interim payment certification by drawn by the engineer was on 20th June 2023. The payment of Ugx 17,772,343 was made via voucher no.6421448 on the 28th June 2023.

- The fencing of administration offices. The request of Ugx 60,250,000 was raised on 17th April 2023. The interim certificate of Ugx 47,811,313 was drawn on 19th April 2023 and endorsed by project manager, internal auditor, CAO and District engineer, The payment of Ugx 44,942,634 was made on 17th May, 2023 via voucher no.5294702.

13

Procurement, contract management/execution
Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:

Score 1 or else 0

There was evidence of complete procurement files as required by PPDA law for the projects implemented during the FY under review;

- The reconstruction of Administration block council hall wing roof and ceiling. Procurement Ref No.Namu574/wrks/22-23/00036 with a contract agreement signed with M/s.Masubo General Enterprises Ltd on 3rd March 2023. The evaluation report was approved by the contracts committee on 3rd February, 2023 and Minutes of the contract committee Min.32/NDCC/10/02/2022-23 - xiv on 10th February, 2023.
- The fencing of Administration offices, the Southern wing .Procurement ref no.Namu574/wrks/2022/23/00035. A contract agreement signed with M/s.Masubo General Enterprises Ltd on 15th December 2022. The evaluation report was approved on 24th August 2022 and minutes of contract committee for the award Min.11/ndcc/16/09/2022-23-ii on 16th September 2022.
- The fabrication and installation of notice board at the main district administration block. Procurement ref no.Namu574/wrks/22-23-iv .The contract was signed on 6th April 2023 with M/s. Springs of Technology and Designers Ltd,. The evaluation report was approved by the committee on the 10th March 2023 and minutes of the contracts committee Min.38/NDCC/14/03/2022-23-iv on 14th March 2023.

Environment and Social Safeguards

14	Grievance redress mechanism operational.	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.	There was evidence of designating Mr. Babalanda Khalif Al-hadad, the DCDO as the grievance focal person of the district as per the letter dated 7th/10/2020 signed by the Deputy CAO, the LG designated him as the grievance handling officer. The grievance focal person accepted the assignment as per the letter dated 8th/10/2020. The Deputy CAO further appointed the GRC member on 31st/10/2020 and the members were;	2
	Maximum 5 points on this performance measure	Score: 2 or else score 0	<ol style="list-style-type: none"> 1. Kagwa Abbey the DWO 2. Babalanda Khalif Al-hadad the DCDO and GRC secretary 3. Babita Harriet the Ag. District Engineer 4. Waako Stephen the Commerical officer 5. Dr. Kirya James the DHO 6. Ikaaba Dauda the NRO 7. Musita Augutus Apollo the DPO 8. Kumbuga Yusuf the senior labour officer 	
14	Grievance redress mechanism operational.	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.	The LG had a specified system for recording, investigating and responding to grievances, which included a centralised complaints log which was opened on 9th/08/2022 for the FY 2022/2023 under review.	2
	Maximum 5 points on this performance measure	If so: Score 2 or else 0	The LG had GRM/grievance referral path titled "Namutumba DLG Grievance Redress mechanism July 2020."	
14	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	The LG had publicised the grievance redress mechanisms/grievance referral path titled "Namutumba DLG Grievance Redress mechanism July 2020."	1
	Maximum 5 points on this performance measure	If so: Score 1 or else 0	at the district notice board in front of the main administration block. And contained the grievance referral path.	

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>There was evidence that environment, social and climate change had been integrated into the LGDP, Annual work plans and budgets as shown below;</p> <p>Work plan for 2022/23 under programme: Natural resource environment climate change and land and water.</p> <ol style="list-style-type: none"> 1. Procure, distribute and plant tree seedlings 2. conduct ESIA's and screening for all capital projects <p>LGDP III pages 115-117</p> <p>Intervention for mitigation and adaptation for climate change and its impact,</p> <ol style="list-style-type: none"> 1. increase land area covered by forests from 9.1% to 15% 2. Increase land area covered wetlands from 8.9% to 9.57.% 3. promote utilization of energy efficient practices and technologies 4. promote conservation of natural and cultural heritage 5. Afforestation and forest cover <p>Budget (FY 2022/2023) page 45</p> <p>workshops, meetings and seminar budgeted at UGX. 26,870,000</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>DDEG guidelines were disseminated during the TPC meeting held on 27th/04/2023 under minute 05/DTPC/4/2023 presentation and dissemination of new DDEG guidelines by the District Planner and in attendance were the CDOs from different sub-counties.</p> <ol style="list-style-type: none"> 1. Weere Nelson the CDO Namutumba sub-county 2. Nakaziba Mary the CDO 3. Kairu Nicholas the CDO Bugobi 4. Aliba Lydia the CDO Nawaikona 5. Mugugwa Enoch the CDO Ivukula sub-county 6. Musalirwe Hillary the CDO Nsinze 7. Mugaba Anthony the CDO Bulange 	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	The LG had projects financed by DDEG other than those under health, education, water and irrigation, like the partial fencing of the district headquarters and Remodelling of the District council hall under administration, however, the documentary evidence was not availed for incorporation of the costed ESMP into the BoQs and Contract document.	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	There was no project undertaken using DDEG funds which had additional cost for addressing impacts from climate change at the LG.	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	No documentary evidence was availed as proof of ownership where the DDEG financed projects were implemented for the FY2022/2023	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	No documentary evidence was availed for support supervision and monitoring to ascertain compliance with ESMPs of the DDEG projects FY2022/23	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	No documentary evidence was availed for E& S compliance Certification forms completed and signed by Environment Officer and CDO for the DDEG projects FY2022/2023	0

Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	The District which was on IFMS made monthly reconciliations and were up-to date by this assessment. The following were examples of reconciled balance at two points: 1. General Fund A/c reconciled balance as at 30th/6/2023 Ugx 80,938,926 and at 30/9/2023 Ugx 295,667,732. 2. Livelihood A/c as at 30th/6/2023 Ugx.4,704,326 and at 30th/9/22023 Ugx 4,683,262. 3. UWEP Recovery A/c reconciled on 30th/6/2023 at Ugx 443,000 and on 30th/9/2023 at Ugx 1,308,500.	2
17	LG executes the Internal Audit function in accordance with the LGA Section 90 Maximum 4 points on this performance measure	a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY. Score 2 or else score 0	All the four quarterly internal audit reports for FY 2022/2023 were produced and submitted to the District Speaker as follows: 1st Qrt. report produced on 12th/10/2022, 2nd Qrt produced on 30th/1/2023, 3rd Qrt report on 12th/5/2023 and 4th Qrt report produced on 31st/7/2023	2
17	LG executes the Internal Audit function in accordance with the LGA Section 90 Maximum 4 points on this performance measure	b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports. Score 1 or else score 0	The District Internal Auditor produced an Internal Audit Comprehensive Status Report on 31st/7/2023 in the first three quarters. The status on the 4th Qrt report was handled in another report produced on 6st/10/2023. The comprehensive reports were addressed to the District Speaker and Chairman of PAC. For each audit issue/question, corrective/recommendations were made, management response and action plan were given and the status of implementation of agreed action were given	1
17	LG executes the Internal Audit function in accordance with the LGA Section 90 Maximum 4 points on this performance measure	c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up: Score 1 or else score 0	After reviewing each internal audit reports for FY 2022/2023, the PAC produced a report dated 27th July, 2023 on each, giving their observations and recommendations e.g. the issue was dropped, the Accounting Officer to act, officer to be warned, etc	1

Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>The budgeted local revenue collections for FY 2022/2023 was shs.308,000,000. The actual collections during the same period was shs.188,453,624 as noted on page 48 of the Draft Financial Statements of the period. This was a performance of 61.6% less than budget realisation set at +/- 10%.</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The actual collections of OSR for FY 2021/2022 was Ushs.154,150,138 as noted on page 34 of the audited financial statements for the period. On the other hand, collections for FY 2022/2023 was Ushs.188,453,624, an increase of shs.34,303,486 i.e. 22.2% increase</p>	2
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>The sharable local revenue for FY 2022/2023 shs.115,562,158 as noted on page 1 of the draft financial statements of the period. The mandatory LLGs share of local revenue remittances totalled to shs.49,725,876 made to 15 Sub-counties and 5 Town Councils. This was 43.0% share, lower than the 65% set in the law.</p>	0

Transparency and Accountability

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>The procurement plan, awarded contracts and amounts were published on District Notice Board on 24th/8/2022 under the signature of CAO. Examples of awarded contracts were:</p> <p>i. Supply of borehole spare parts awarded to M.s Summit General Services at Ushs.34,785,000.</p> <p>ii. Motorized drilling, casting and installation of 17 hand pumps deep wells awarded to M/s East Africa Boreholes Ltd. at Ushs.349,055,300.</p>	2
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21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The LG performance assessment results for year 2022 were published on the Notice Board at the main building on 2nd/7/2023 under the signature of the planner. Examples of scores include thus not limited to: Crosscutting Minimum Conditions 74%, Education Minimum Conditions 100%, Health Minimum Conditions 70% and Water and Environment 75%</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>On 22nd/12/2022, the District officers had a radio-talk show on Busoga One FM about Nutrition Program. A report dated 23rd/12/2022 was produced under the signature of the Communication Officer and forwarded to CAO. The key objectives were:</p> <ul style="list-style-type: none"> i. Account to the public funds received under M/s Mult-Sector Food Security and Nutrition Program. ii. Inform the public on the implementation of the program. iii. Disseminate the achievements of the project. iv. Identify the challenges and possible solutions to the project 	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>Much as the District had a Charging Policy in place, the tax-rates, collection procedures and procedures for appeal were not published for public consumption</p>	0
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>On 1st/9/2022, CAO wrote a letter to IGG and copied to Council about a Veterinary Officer for absecondment and obtaining money from the community. As a way of inducing him to resume his duties, the District stopped paying him salary which made him go to court and the case was still on by this assessment date</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>School Year 2020</p> <p>Total No. of Candidates registered = 5654</p> <p>Total absentees = 52</p> <p>Total that sat = 5602</p> <p>Total Grades (I, II & III) = (261+2006+1280) =3547</p> <p>Pass rate = 3547×100</p> <p style="text-align: center;">5602</p> <p style="text-align: center;">= 63.3%</p> <p>School Year 2022</p> <p>Total No. of Candidates registered = 6289</p> <p>Total absentees = 94</p> <p>Total that sat = 6195</p> <p>Total Grades (I, II & III) = (407+2685+1288) = 4380</p> <p>Pass rate = 4380×100</p> <p style="text-align: center;">6195</p> <p style="text-align: center;">= 70.7%</p> <p>PLE pass rate increased by 7.4% (from 63.3% to 70.7%)</p>	4

1

Learning Outcomes:
The LG has improved
PLE and USE pass
rates.

Maximum 7 points on
this performance
measure

b) The LG UCE pass rate has
improved between the
previous school year but one
and the previous year

- If improvement by more
than 5% score 3
- Between 1 and 5% score 2
- No improvement score 0

School Year 2020

Total No. of Candidates registered =
1781

Total absentees = 22

Total that sat = 1759

Total Grades (I, II & III) =
(108+317+397) =822

Pass rate = 822×100

1759

= 46.7%

School Year 2022

Total No. of Candidates registered =
1606

Total absentees = 21

Total that sat = 1585

Total Grades (I, II & III) =
(103+320+399) = 822

Pass rate = 822×100

1585

= 51.9%

The UCE pass rate increased by 5.2%
(from 46.7 to 51.9%)

2

N23_Service Delivery
Performance: Increase
in the average score in
the education LLG
performance
assessment.

Maximum 2 points

a) Average score in the
education LLG performance
has improved between the
previous year but one and
the previous year

- By more than 5%, score 2
- Between 1 and 5%, score 1
- No Improvement, score 0

NB: If the previous average
score was 95% and above,
Score 2 for any increase.

The LLGs performance in 2022 was 47%
and in 2023 the score was 44% giving a
variance of -3% which presented a fall of
3% according to the OPAMS 'the LLG
Performance Assessment Variance 2022
- 2023.

0

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

a) If the education
development grant has been
used on eligible activities as
defined in the sector
guidelines: score 2; Else
score 0

The DLG received Ugx 427,232,388 as
the development grant (excluding
UGIFT).

According to the Departmental Budget
Performance and Status Report for FY
2022/2023 and Priorities for FY
2024/2025, the DLG executed the
following projects during the FY
2022/2023;

- Remodelling of Education office at Ugx
124,350,500

- Construction of a 5-stance lined PIT
latrine at 13 various Primary Schools like
Busini, Kirongo, Nangode, Nakyere each
at Ugx 27,000,000

- construction of Nabweyo Seed School
at Ugx 1,155,709,665 (specifically UGFIT
funds).

All the activities were defined in the
sector guidelines and therefore were
eligible.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0

The was evidence that the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors as per the examples below;

- The project for the remodelling of the Education office was contracted to M/s Eryan Business Solutions Ltd at Ugx 179,350,500. The payment requisition worth Ugx 65,502,863 was on 8th June, 2023. The Assistant Engineering Officer prepared the Interim Payment Certificate (ITC) worth Ugx 55,041,395 on 10th June, 2023. The certificate was cleared by DEO, CDO and Environment officer on 10th June, 2023 and payment of Ugx 41,929,029 was done on 26th/6/2023 via EFT Voucher 6423240

- M/s Alikoba Investments Uganda Limited the Contractor for the construction of a 5-stance lined PIT latrine at Igerera P/S. at Contract price Ugx 26,989,078. The contractor raised a payment claim worth Ugx 27M on 5th June, 2023. The Asst Engineering Officer raised an interim payment certificate (IPC) 01 worth Ugx 24,335,914 on 12th June, 2023 which was endorsed by the District Environment Officer, the District Engineer, the Environment Officer on 12th June, 2023 before payment of Ugx 24,101,247 on 28th June, 2023 via EFT voucher 6438665.

- M/s Imeka Kagulu Construction Company Limited the Contractor for the construction of a 5-stance lined PIT latrine at Kasuleta P/S. at Contract price Ugx 27,000,000. The contractor raised a payment claim worth Ugx 25M on 5th June, 2023. The Asst Engineering Officer raised an interim payment certificate (IPC) 01 worth Ugx 23,626,120 on 5th/6/2023 which was endorsed by the District Environment Officer, the District Engineer, the Environment Officer on 5th/6/2023 and payment of Ugx 23,398,297 was made on 28th June, 2023 via EFT voucher 6441672.

3	Investment Performance: The LG has managed education projects as per guidelines	c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0	There was evidence for variations in contract prices were as follows;	2
	Maximum 8 points on this performance measure		<ul style="list-style-type: none"> ● Construction of a 5-stance lined pit latrine at Nangonde Moslem primary school. 	
			Contract sum=Ugx 24,455,250	
			Engineers sum=Ugx 27,000,000	
			% Variations=-9.425%	
			<ul style="list-style-type: none"> ● Construction of a 5-stance lined pit latrine at Nabuguzi primary school. 	
			Contract sum=Ugx 26,995,568	
			Engineers estimate=Ugx 27,000,000	
			%Variation= 0%	
			<ul style="list-style-type: none"> ● Construction of a 5-stance lined pit latrine at Kasimizi primary school. 	
			Contract sum=Ugx 26,933,500	
			Engineers estimate=Ugx 27,000,000	
			% Variation =-0.25%	
3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects (Seed Secondary Schools)were completed as per the work plan in the previous FY	According to the Departmental Budget Performance and Status Report for FY 2022/2023 and Priorities for FY 2024/2025, the DLG executed the following projects during the FY 2022/2023 and the ABPR for FY 2022/2023, reflected that the Education sector projects were completed as per the work plan. The projects among others included;	2
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 - 99% score 1 • Below 80% score 0 	<ul style="list-style-type: none"> ● The remodelling of the Education Office block 	
			<ul style="list-style-type: none"> ● The construction of thirteen 5-stance VIP lined latrines at various Schools Like Nabuguzi, Kasuleta among others 	
			<ul style="list-style-type: none"> ● The construction of Nabweyo Seed School at Ugx 1,155,709,665 	
			All the projects were 100% (3/3 X 100) completed and fully paid according to the Departmental Budget Performance and Status Report for FY 2022/2023 dated 1st November, 2023.	

Achievement of standards: The LG has met prescribed school staffing and infrastructure standards

Maximum 6 points on this performance measure

a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines

- If 100%: score 3
- If 80 - 99%: score 2
- If 70 - 79% score: 1
- Below 70% score 0

There was adherence to the prescribed MoES guidelines when recruiting teachers. At least each class had a teacher recruited. The LG had 1473 teachers in post versus the staff ceiling of 2122 which meant that the LG recruitment was 70%

Achievement of standards: The LG has met prescribed school staffing and infrastructure standards

Maximum 6 points on this performance measure

b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,

- If above 70% and above score: 3
- If between 60 - 69%, score: 2
- If between 50 - 59%, score: 1
- Below 50 score: 0

There was evidence that all the UPE and USE had prepared assets registers which the LG consolidated into the LG Consolidated Assets register which was endorsed by the DEO on 3rd July, 2023. The register presented aggregated stocks for both UPE and USE schools as shown below;

Primary Schools in FY 2022/2023 had;

1. Classrooms were 481
2. Latrines were 711
3. Desks were 8231
4. Library was nil
5. Staff houses were 00

Secondary Schools had;

1. Classrooms were 96
2. Latrines were 150
3. Desks were 830
4. Laboratories were 18
5. Staff houses were 36

Primary Schools in FY 2021/2022 had;

1. Classrooms were 481
2. Latrines were 701
3. Desks were 8231
4. Library was nil
5. Staff houses were 00

Secondary Schools had;

1. Classrooms were 96
2. Latrines were 150
3. Desks were 830
4. Laboratories were 18
5. Staff houses were 36

Total GoU that submitted X 100

Total (UPE+USE)

(109+07) X 100

(109+07)

= 100%

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2

- Else score: 0

The LG accurately reported on teachers and their work stations according to the Staff deployment list endorsed by the DEO on 3rd July 2023 that captured 1473 teachers serving in 109 registered Primary Schools was presented for assessment.

The assessment sampled three (3) visited Schools of; Matyama (Urban), Namutumba Modern (Semi urban) and Kaiti (rural) to verify on the accuracy of deployment information. The pointers of verification were; the displayed teachers' list, teacher's duty rosta and the teacher's daily attendance books. When the assessment reviewed the 3, the teacher's names, the staff size per school tallied well with the deployment list at the DEO's office.

The staff list that was posted on the walls of the Headteachers' office at Matyama P/S indicated that the Government paid teachers were 19 which was in tandem with the DEO's deployment list.

At Namutumba Modern P/S, the staff list posted on the walls of the Headteachers' office indicated that the GoU paid teachers were 34 which number tallied with the DEO's deployment list.

While at Kaiti P/S, the staff list posted on the wall of the Headteachers' office indicated that the GoU paid teachers were 19 which number was matching with that on the DEO's deployment list

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2
- Else score: 0

The LG Consolidated Assets register endorsed by the DEO on 3rd July, 2023 that captured the assets of the 109 registered primary schools was presented for assessment.

The register reported that the registered Primary Schools aggregate Assets at the time of assessment were;

- Classrooms were 481
- Latrine stances were 711
- The desks were 8231
- There was no Library and Staff houses

To verify the accuracy of the information presented by the DEO, the assessment sampled 3 Primary Schools of;

- Matyama P/S (Urban) the stocks were Classrooms 10, latrine stances 15, desks were 111 with no library and staff house which was in tandem with the stocks at DEO's office.
- Namutumba Modern P/S (semi-urban) the assets were; classrooms were 12, stances were 13, desks were 253 and no staff house as was reported by the DEO's register.
- Kaiti P/S asset stocks assessed indicated; 10 classrooms, 08 latrine stances and 146 desks and no staff house and no library. The asset stocks tallied with those at the DEO's office.

The accuracy of the data was therefore 100%

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 - 99% score: 2
- Below 80% score 0

The reviewed School files indicated that the Headteachers had prepared the annual Budget Performance Reports as guided by the MoES annual budgeting and reporting guidelines and submitted to the DEO by January 30th 2023.

The assessment sampled 10 Primary and they had all complied with the Budgeting and Planning guidelines and they are;

1. Kiwanuka Henry Andrew the HT Kisiro P/S submitted on 7th January, 2023
2. Olaki Augustus the HT Matyama P/S submitted on 3rd January, 2023
3. Waibi Peter the HT Nawansangwa P/S submitted on 10th January, 2023
4. Naigino Edith the HT Bugiri SDA submitted on 9th January, 2023
5. Nabongho Stanley the HT Bubutya P/S submitted on 11th January, 2023
6. Kunya David the HT Budatu P/S submitted on 4th January, 2023
7. Mutakubwa Magidu the HT Irimbi P/S submitted on 5th January, 2023
8. Muzade Henry the HT Namuwondo P/S submitted on 7th January, 2023
9. Walyongo Patrick the HT Nsongwe P/S submitted on 18th January, 2023
10. Naigaga Mary the HT Mulama P/S submitted on 16th January, 2023

School compliance and performance improvement:

Maximum 12 points on this performance measure

b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:

- If 50% score: 4
- Between 30- 49% score: 2
- Below 30% score 0

There was evidence presented by the Headteachers of the sampled schools. The Headteachers (HT) presented signed Inspection feedback reports that indicated recommendations endorsed by both the Headteacher and inspectors for example on 13th September, 2022 while at Kaiti Inspector Hamba Godfrey and HT Matalya Grace consented and endorsed the inspection recommendation . In addition, while at Schools, the assessment saw posted SIPs on the halls of the Headteacher's offices which were capturing activities relating to recommendations of the inspection findings.

The LG also possessed a report on training dated 5th June, 2023 signed by CAO and Inspector of Schools Kirya Ronald.

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 - 99% score 2 • Below 90% score 0 	<p>The LG compiled EMIS return forms for all the registered 109 Primary and 07 Secondary schools from the previous FY. These were submitted to MoES via email on 6th January, 2022 at 11:18PM by Isiko Mohammed the DEO.</p> <p>The LG therefore was 100% compliant.</p>	4
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Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>The LG Approved Budget Estimates for FY 2023/2024 on page 33 of 67 indicated General staff salary for the 1473 Primary teachers was Ugx 9,625,308,000.</p>	4
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>From the three visited Schools of Matyama (urban), Namutumba Modern (semi-urban) and Kaiti (rural), it was evident that LG deployed at least a teacher per class as required by the guidelines. The assessment noted that the names and number of teachers as displayed in the Headteachers' office were tallying with what was on the teacher's deployment list dated 3rd July, 2023 at the DEO's office.</p> <p>The DEO's Deployment for School year 2023 indicated that; Matyama P/S had 19, Namutumba Modern had 34 and Kaiti had 19 including the Headteachers.</p> <p>The School verification tour reviewed the teacher's daily attendance book and the posted staff lists plus the staff duty allocation schedules, it was revealed that the numbers and names of teachers were similar with those on the deployment list at the DEO's desk for the HT at Kaiti P/S was Ms. Matyala Grace.</p>	3
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Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0

The LG staff list signed by the DEO on 3rd July, 2023 which also doubled as the staff deployment list for 2023 that contained 1473 teachers was found posted on the LG Education notice board.

The Headteachers at the visited schools had posted their respective staff lists for calendar year 2023 on the walls of the Headteacher's offices.

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

The findings from the 10 randomly sampled and reviewed Headteacher's files in respect of appraisal for work done during the School year 2022 indicated that the appraisals were done outside the set timelines as presented below;

Mr. Kiwanuka Henry Andrew the Headteacher of Kisiro P/S in Bugobi Sub-County was appraised by the SAS Kagoda Sharon on 24th/01/2023 which was past December 2022.

Mr. Olaki Augustus the HT Matyama P/S in Namutumba Town Council was appraised by the Assistant Senior Town Clerk Kabakubya Samuel on 22nd December, 2022.

Mr. Waibi Peter the HT of Nawansangwa P/S in Kiziba S/C was appraised by SAS Kapalaga Faruku on 11th January, 2023

Ms. Naigino Edith HT of Bugiri SDA P/S in Kagulu S/C was appraised by SAS Mugaba Anthony on 10/01/2023

Mr. Nabongho Stanley the HT of Bubutya COU P/S in Bulange S/C was appraised by SAS Balimumiti Ali on 27th/01/2023.

Mr. Kunya David the HT of Budatu P/S in Nabweyo S/C was appraised by SAS Mwemeke Hurriet on 12th/01/2023.

Mr. Mutakubwa Magidu the HT of Irimbi P/S in Mazuba S/C was appraised by SAS Wambi Nathan on 09th /01/2023.

Mr. Muzaale Henry the HT of Namuwondo P/S in Namutumba S/C was appraised by SAS Mugoya Daniel on 24th /01/2023.

Mr. Walyonga Patrick the HT of Nsongwe P/S in Bugobi Town Council was appraised by Assistant Senior Town Clerk on 16th 01/2023.

Ms. Naigaga Mary the HT of Mulama P/S in Kiwanyi S/C was appraised by SAS Nabifo Carol on 16th /01/2023.

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM

Score: 2 or else, score: 0

Although the LG appraised the HTs of the Secondary Schools, the appraisal was done outside the set timelines as shown below;

Ms. Ariokot Imelda Sabila the HT of Namutumba Seed School was appraised by Chairperson BoG; Kadhiwa Michael on 22nd/2/2023. The DCAO and Chairperson BoG countersigned on 22nd/2/2023.

Mr. Kyadida Addi the HT of Bukonte Seed School was appraised by Chairperson BoG, Mpaata Isabirye Ruth on 6th/2/2023

Mr. Mugasa Mbago Charles the HT of Ivukula Sec. School was appraised by Chairperson BoG, Magoma Henry Stephenson on 01st/01/2023

Mr. Samanya Fred the HT of Kibale High School was appraised by Chairperson BoG, Wakainja John Patrick on 16th/11/2022

Mr. Mageni Nathan the HT of Bugobi Sec. School was appraised by Chairperson BoG, Kiwanuka Christine on 14th September, 2022, and

Mr. Mangeni Fred the HT of St. Mathias Sec School Magada was appraised by Chairperson BoG, Rev. Father Kitimbo J. B on 06th/01/2022

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans score: 2. Else, score: 0</p>	<p>There was evidence of appraising the staff for the Education department however, one of them was appraised outside the set timelines and one staff had no proof of appraisal as shown below;</p> <ol style="list-style-type: none"> 1. Baligasima Aggrey, Education Officer (guidance and Counselling appraised by Konso Fiida (Senior Education Officer) on 30/6/2023; 2. Baitwa Godfrey Nathanael, (Sports Officer) was appraised by Batama Damalie Juliet (Principal Education Officer) on 30/6/2023; 3. Batama Damalie Juliet (Principal Education Officer) was appraised by Isiko Mohammed (DEO) on 30/6/2023; 4. Mugoya Robert, (Inspector of Schools) was appraised by Kalisengawa Fred (Senior Inspector of Schools) on 30/6/2023; 5. Hamba Godfrey, (Inspector of Schools) was appraised by Kalisengawa Fred (Senior Inspector of Schools) on 28/6/2023; 6. Isiko Muhammed, (DEO) appraised by CAO on 1/7/2023; 7. Kalisengawa Fred (Senior Inspector of Schools) had no proof of appraisal; 8. Kirya Ronald, (Inspector of Schools) was appraised by Kalisengawa Fred (Senior Inspector of Schools) on 30/6/2023; 	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level, score: 2 Else, score: 0</p>	<p>Namutumba DLG prepared a training plan for 2022/2023 to be implemented in 2023/2024. The training plan was prepared by Kalisengawa Fred and confirmed by DEO on 26th September, 2023. The plan was submitted to the century registry and was received on 22nd November, 2023. It captured activities like training Head teachers on Assets register and SIPs preparation</p>	2

Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p>	<p>The LG had an error in the enrolment of Basoga Nsadu Technical Institute where the DEO had to give the Year 1 students being 116 and Year two being 89 to give a total of 205. The DEO Dr. Isiko Mohammed submitted the correction via email on 6th November, 2022 at 12:50 PM.</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 or else, score: 0</p>		
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	<p>The LG Education Department made allocations of Ugx 94,512,000 to monitoring and inspection captured in the unpagged LG Approved Budget Estimates FY 2022/2023 under output 000023. This was in line with sector guidelines (page 18 and 21 of the guidelines) which call for a minimum allocation of UGX 4,000,000 per LG</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 else, score: 0</p>		
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	<p>Namutumba DLG received two tranches of UPE capitation whose warranting were on the following dates;</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else score: 0</p>	<p>Quarter II on 31st October, 2022 same as Cash release date</p>	
			<p>Quarter III on 13th January, 2023 same as Cash release date</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p>	<p>Namutumba DLG received two tranches of UPE capitation whose invoicing and communication dates were;</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else, score: 0</p>	<p>Quarter II on 24th August, 2022 same as Communication date</p>	
			<p>Quarter III on 15th May, 2023 same as Communication date</p>	

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>The LG Education department inspectorate prepared the FY 2022/2023 inspection Plan. The plan was prepared by Kalisengawa Fred and confirmed by DEO on 26th September, 2023. The plan was submitted to the century registry and was received on 22nd November, 2023.</p> <p>The LG held inspection planning meetings to discuss among other issues deployments of inspectors, inspection logistics. Examples of meetings held included;</p> <p>On 10th/09/2022 through Min.03/Insp 2022, the meeting received and discussed the Term , 2022 inspection schedules</p> <p>On 5th/02/2023 through Min.2/2023, the DIS informed the members that the inspection funds requisitioned were to be achieved soon.</p> <p>Other Planning meetings were held on 29th/9/2022 and 14th May, 2023.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 - 99% score 1 • Below 80%: score 0 	<p>A review of the inspection reports for the past three Terms revealed that for every round of the termly inspection, all the 109 Government Primary schools, were inspected in addition to the privately owned education institution.</p> <p>109 X 100</p> <p>109</p> <p>= 100%</p>	2

Routine oversight and monitoring

Maximum 10 points on this performance measure

c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,

Score: 2 or else, score: 0

It was observed by the assessment team that inspection reports were discussed at both the school and the LG levels

There was evidence the education department discussing inspection findings as per the example below;

On 30th/6/2023, through Min. 04/staff/2023, the inspection findings for Term II, 2023 were laid before table and under Min.05/staff/2023, the findings were discussed

The Education department on 29th March, 2023 held a zoom under Min. 6/STF/2023 received and discussed inspection findings and,

1st/9/2022 vide Min. S16, the DIS made the Inspectors' schedules and called upon inspectors to ensure timely reporting because the digital inspection was being managed by DES at Kampala.

From the sampled schools it was also evident from the inspection feedback reports that the inspectors and the school administration there was discussion of inspection finding and coming up with resolutions for example;

On 24th/02/, 2023, inspector Mugoya Ronald while at Namutumba Modern P/S discussed with the teachers issues like the need to enforce putting on Uniforms, regular scheming of work and lesson planning. The Headteacher on behalf of the Teachers and the inspector agreed on recommendations like engaging the parents on buying uniforms. The Headteacher Nagaya Yusufu Mulumba showed the assessment in the minutes of the SMC meeting of 1st June, 2023 that resolved that for proper identification of learners, parents should be encouraged to buy uniforms.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>The inspection feedback reports duly signed by the Inspectors of Schools and the School Headteachers were found at the three sampled Schools there was evidence of discussion and agreement on the inspection findings between the Headteacher and the inspectors for example;</p> <p>On 24th/02/2023, inspector Mugoya Ronald while at Namutumba Modern P/S discussed with the teachers issues like scheming of work, students putting on uniforms. The areas that needed improvement and the recommendations were endorsed by the HT Nagaya Yusufu Mulumba Bogere Moses and the Inspector Mugoya Ronald.</p> <p>While on the School verification visit on 24th/11/2023, the assessment team noted that teachers had taken corrective actions of scheming before teaching.</p> <p>The DIS prepared and submitted all the inspection reports together with the monitoring reports, activity work plans and budgets to DES as per the examples below;</p> <ul style="list-style-type: none"> ● Term II, 2023 digital report was submitted to DES on 27th October, 2023 ● Term I, 2023 report digital report was on 30th/May/2023 and was received by Nabuzale Joan at DES ● Term III, 2022 report was submitted online during the piloting of the E-inspection.
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The Education Committee was in charge of discussing matters of education service delivery as per the examples below;</p> <ul style="list-style-type: none"> ● On 17th May, 2023, the committee through Mi. 02/ESC/17/05/2023 discussed the work plan for FY 2023/2024 and resolved that Councillors get more involved in project monitoring. The meeting further approved the SMC for Nabitula P/S School and the BoG for Kibale High School. <p>Another meeting held was on 13th/02/2023.</p>

11	Mobilization of parents to attract learners <i>Maximum 2 points on this performance measure</i>	Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school, <i>score: 2 or else score: 0</i>	There was evidence of mobilising and attracting plus retaining of learners into Schools through the community engagements. On 15th/05/2022 there was a meeting on the topic "Planning meeting for Community mobilisation against Children drop out in Greater Nsinze". The DEO called upon all stakeholders to come out and mobilise children to back to School following the disruption of the COVID-19	2
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Investment Management

12	Planning and budgeting for investments <i>Maximum 4 points on this performance measure</i>	a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i>	The LG Consolidated and updated Assets register endorsed by the DEO on 3rd July, 2023 that captured the assets of the 109 registered primary schools was presented for assessment. The update register reported on the newly acquired properties like the 13 five-stance latrines in the registered Primary Schools. The aggregate Assets at the time of assessment were; <ul style="list-style-type: none"> ● Classrooms were 481 ● Latrine stances were 711 ● The desks were 8231 ● There was no Library and Staff houses <p>To verify the accuracy of the information presented by the DEO, the assessment sampled 3 Primary Schools of;</p> <ul style="list-style-type: none"> ● Matyama P/S (Urban) the stocks were Classrooms 10, latrine stances 15, desks were 111 with no library and staff house which was in tandem with the stocks at DEO's office. ● Namutumba Modern P/S (semi-urban) the assets were; classrooms were 12, stances were 13, desks were 253 and no staff house as was reported by the DEO's register. ● Kaiti P/S asset stocks assessed iKaiti P/S asset stocks assessed indicated; 10 classrooms, 08 latrine stances and 146 desks and no staff house and no library. The asset stocks tallied with those at the DEO's office. 	2
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>The District conducted a desk appraisal for all projects in the budget of FY 2022/2023 and a report dated 12th August, 2022 signed by the planner was produced and forwarded to CAO. It was reported that the projects were derived from the development plan and were eligible expenditure in line with Education sector Planning and Budgeting Guidelines, 2022. Examples included:</p> <ul style="list-style-type: none"> ● The remodelling of the Education office ● The construction of thirteen 5-stance PIT latrines in various Schools. <p>The projects captured under Assets and Facility Management were on page 237 of the DDP III.</p> <p>The projects were eligible according to page 21 and 22 of the Education sector Planning and Budgeting guidelines and were derived from the DDP III on page 237.</p>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>The District conducted a field appraisal of all the projects for FY 2022/2023. A report dated 12th August, 2022 was presented and it highlighted projects like;</p> <ul style="list-style-type: none"> ● The remodelling of the Education office ● The construction of thirteen 5-stance PIT latrines in various Schools <p>The outcome confirmed that all the projects were linked to the 5-year development plan. In the forms filled during the exercise, the officers pronounced themselves by ticking right the technical feasibility and environmental and social acceptability. Finally, TPC recommended that projects could be funded.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>There was evidence of education infrastructure projects incorporated in the approved procurement plan for the current financial year on the 1st August 2023.</p> <p>Construction of 5 stance pit latrines at Nkono, Kasuleta, Kamudooke, St. Augustine Buwola primary schools each at Ugx 28,000,000 .</p> <p>No Seed School was incorporated in the approved procurement plan.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>Evidence for clearance of Nabweyo seed school was on 19th August 2022 by Solicitor General (Peter Masaba), Bukonte Seed School was cleared on 21st March 2023 by Solicitor General JBR.Suuza.</p> <p>The sampled education infrastructure projects were all approved by the contracts committee as shown below;</p> <ol style="list-style-type: none"> 1. Nabweyo Seed school was approved through Minute 45/NDCC/3/03/221-2022 on 3rd March, 2022 2. Bukonte Seed School was approved through minute Min.26/NDCC/11/01/2022-23 on 11th January, 2023. 3. A 5-stance pit latrine at Nangonde Moslem primary was approved through minute 32/NDCC/10/02/2022-23 on 10th February, 2023 4. A 5- stance pit latrine at Nabuguzi primary school was approved through minute 32/NDCC/10/02/2022-23-xiii on 10th February, 2023 5. A 5-stance pit latrines at Kasimizi primary school was approved through minute 32/NDCC/10/02/2022-23-iv on 10th February, 2023. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>The LG had established a Project Implementation Team as per the evidence of an appointment letter on the 11th October 2022. The team membership comprised of;</p> <p>Ms.Babita Harriet (Ag. District engineer) as Contract manager</p> <p>Mr.Babalanda Hadadi Khalif as the DCDO</p> <p>Mr.Kiryia Moses as the Environment officer</p> <p>Mr.Kumbuga Yusuf as the Labour officer</p> <p>Mr.Mwanje Jessy as the Clerk of works and the DEO as the project manager.</p> <p>The project implementation team was properly established.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>The school infrastructure were up to the required standards of MoEs for instance, The 2 Unit Science Laboratory block was provided with ramps at the entrances of 2000mm as per the drawing, The roofing was of 26-gauge G.I sheets fixed on 100x50x2mm Zed purlins using 75mm J-bolts,door openings installed with 900mm purpose made steel casement.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>The LG presented evidences for holding monthly site meetings for the civil works executed the previous FY as per the examples below;</p> <ul style="list-style-type: none"> ● Monthly supervision report for the month of June with the general scope of work at 43% was prepared on 1st July 2023 ● A monthly supervision report was on 5th June 2023 for the month of may giving an overview of works done at 200mm thick block walling for the classroom blocks, ICT laboratory and library ,administration block and the stance pit latrines average output at 40% ● A Report prepared on the 30th November 2022 for works at substructure level (excavation of foundation trenches and plinth walling) ● There was a site meeting minute for a meeting held on18th January 2023 attended by the RDC, LC5, Senior labour officer, Environment officer, DEO, District Engineer, contractor and at the time works implemented were at 10% ● Minutes for a site meeting held on 16th March 2023,at the time works were at substructure level.The DEO, District engineer, clerk of works, labour officer, LCIII attended the meeting. 	1

Procurement, contract management/execution

Maximum 9 points on this performance measure

f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted *score: 1, else score: 0*

here was evidence for a progress report on the 3rd May, 2023 for construction of a 5-stance pit latrine, the works were at 64.68% but were delayed at the time by storm water and floods which had disorganised the substructure works.

- There was a site meeting minutes for Nabweyo Seed School on the 28th August, 2022 by the DEO, Clerk of works, DCDO, Environment officer, District engineer and the contractor.

- A progress report for a 5-stance pit latrine at Nangonde Moslem primary school on 22nd June, 2023, all works for the substructure, block masonry, roofing, internal finishes, and mechanical installation were complete

Procurement, contract management/execution

Maximum 9 points on this performance measure

g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, *score: 1, else score: 0*

● The construction of a 5-stance pit latrine at Nangonde Muslim primary school. The request for payment was raised on 20th June, 2023 by M/s.Pab Contractors Ltd of Ugx 24,400,000,The certificate of payment on 22nd June 2023 of Ugx 18,970,803 signed by DEO, DCDO, Chief Internal Auditor, Environment officer, CAO and District engineer and voucher no.6436666 was of Ugx 18,797,172 was paid on 28th June 2023.

● The construction of a 5-stance lined pit latrine at Nabuguzi primary school. A requisition for payment by M/s.Nabex General Supplies (U) Ltd of Ugx 26,995,568 on 17th June 2023. The certificate worth Ugx 24,341,766 was prepared by the Assistant Engineering Officer was signed by the District engineer on 22nd June 2023. The DEO, DCDO, District engineer, Environment officer, CAO too cleared the certificate on 22nd June, 2023 and via voucher no.6420388 of 28th June 2023, Ugx 24,107,042 was paid.

● Construction of Nabweyo Seed School .The contractor raised a request for payment on 26th April 2023 of Ugx 706,576,701 for 25% works done. The interim certificate of Ugx 364,841,227 was signed on 3rd May, 2023 by the District engineer, DEO, CAO DCDO, Environment Officer and Chief internal auditor and a voucher no.5316194 of Ugx 373,320,147

● A second requisition for payment for Nabweyo Seed School by M/s.Sembais Joint Venture was made on 20th June 2023 of Ugx 980,409,152 and the certificate of Ugx 162,632,400 was prepared on the 20th June 2023 and was approved by the District engineer, Environment officer, DCDO, DEO, District internal Auditor and CAO, A payment of Ugx 171,111,321 was made via voucher no.6440697 on 20th June, 2023.

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, score: 1, else, score: 0</p>	<p>There was evidence of a sector procurement plan being timely submitted on 14th April, 2022 by the DEO (Mr.Isiko Emmanuel) for the following requests;</p> <ul style="list-style-type: none"> ● Construction of Bukonte Seed School at Ugx 600,000,000 ● Construction of a 5-stance lined pit latrines at Nabuguzi,Nangonde Moslem, Kategere,Bugobi, Kasimizi Primary Schools each at Ugx 27,000,000. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>The procurement files for the education infrastructure projects were completed as per PPDA requirement.</p> <ul style="list-style-type: none"> ● Construction of a 5-stance pit latrine at Nabuguzi Primary School. Procurement ref no.Namu574/wrks/22-23/00027,The contract was signed on 6th March 2023 with M/s.Nabex General Supplies Ltd. The evaluation report signed on 6th February 2023 was on file and minutes of the contracts committee approval 32/NDCC/10/02/2022-23-xiii dated 10th February 2023 were on file. ● Construction of Nabweyo Seed School .Procurement ref no.MoES/UgiFT/wrks/21-22/00005-LOT 37.The contract was signed on 24th August 2022,the evaluation report was approved by the contracts committee on 21st February 2022 and the minutes of the contracts committee MIN 22/Council/6/01/2022 endorsed on 6th January 2022 were on file. ● Construction of works at Bukonte Seed School.Procurement ref no.Namu574-UgiFT/wrks.22-23/00015 was on file. The signed contract with M/s.PAB Contractors Company Ltd on 30th March 2023 was found on file. The evaluation report dated 16th December, 2022 with Minute of award Min.26/NDCC/11/01/2022-23 dated 11th January, 2023 was seen on file. ● Construction of a 5-stance pit latrine at Nangonde primary school. Procurement ref no.Namu574/wrks/22-23/00018. The signed contract with M/s.Pab Contractors Company Ltd dated 17th March, 2023 was on file. The evaluation report approved by the contracts committee on 10th February 2023 through minute 32/NDCC/10/02/2022-23-vii dated 10th February 2023 was on file. 	1

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>The LG had a log for recording grievance which was in place and during the assessment the log was reviewed, it was noted that for the FY2022/23 under review, there were no grievances recorded under the education sector project implementation by the time of assessment as the log of grievance was opened on 9th/08/2022.</p>	3
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was no evidence presented in regard to dissemination of E&S guidelines.</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, score: 2, else score: 0</p>	<p>No documentary evidence for the incorporation of costed ESMPs into the BoQs and Contract document for all the education projects (the construction of a 5-stance pit latrine at Nangonde Muslim primary school, the construction of a 5-stance lined pit latrine at Nabuguzi primary school and construction of Nabweyo seed school) implemented in the FY2022/23 under review..</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, score: 1, else score:0</p>	<p>There was no land ownership documentary evidence availed for where the education projects (the construction of a 5-stance pit latrine at Nangonde Muslim primary school, the construction of a 5-stance lined pit latrine at Nabuguzi primary school and construction of Nabweyo seed school) were implemented the previous FY2022/2023.</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, score: 2, else score:0</p>	<p>No evidence was availed for monitoring of education projects implemented in FY2022/2023 to ascertain compliance with ESMPs.</p>	0

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

There were E&S certification forms for all the projects under the education sector as listed below;

E&S certificates issued for the construction of 5-stances of drainable pit latrines at;

Bugobi primary school, Nangonde primary school, Mawungwe primary school, Busini primary school, Igeera primary school, Kirongo primary school, Kasimizi primary school, Nakyere primary school, Budunda primary school, Nabuguzi primary school, Kategere primary school where the DCDO and environment officer certified works on 22nd/06/2023 and payment was made on 28th/06/2023.

**Health
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>When the assessment calculated the annual Delivery attendance for health facilities using the Monthly reports (HMIS107).</p> <p>The summaries for the 3 sampled health facilities were as follows:(Percentage utilisation =Registered attendance for previous FY minus registered attendance for current FY, divided by registered attendance for previous FY and multiply by100)</p> <p>1). Namutumba HC III FY 2021/2022 Deliveries were 76 cases, FY 2022/2023 Deliveries were 113 cases increase in utilisation = 37 % increase $37/76 \times 100 = 48\%$</p> <p>2). Magada HC III FY 2021/2022 Deliveries were 579 cases, FY 2022/2023 deliveries were 844 cases increase in utilisation = 265 % increase $265/579 \times 100 = 46\%$</p> <p>3) Namalembe Nsoola HC III FY 2021/2022 Deliveries were 69 cases, FY 2022/2023 Deliveries were 117 cases increase in utilisation = 48 % increase $48/69 \times 100 = 69\%$</p> <p>From the above information there was evidence of increased utilisation of health care services by more than 20%</p>	2

2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p>	<p>a. If the average score in Health for LLG performance assessment is:</p>	<p>According to the LLG Performance Assessment Variance 2022 - 2023, the LG scored 88% in 2022 and 51% in 2023. This gave an average score of 70% and a variance of 37% between the two successive years.</p>	1
	<p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • 70% and above, score 2 • 50% - 69%, score 1 • Below 50%, score 0 	<p>The assessment further obtained from the LG the individuals scores of the LLGs and they are presented below;</p>	
			<p>The assessment team reviewed report for LLGs performance assessment for FY 2022/2023 Namutumba DLG and the scores for MSI were as follows</p>	
			<p>Kibale T/C 0%</p>	
			<p>Ivukula T/c 100%</p>	
			<p>Namutumba T/C 100%</p>	
			<p>Nsinze T/C 0%</p>	
			<p>Bugobi T/C 0%</p>	
			<p>Kagulu S/C 60%</p>	
			<p>Bulange S/C 80%</p>	
			<p>Bugobi S/C 0%</p>	
			<p>Kizuba S/C 0%</p>	
			<p>Kibale S/C 0%</p>	
			<p>Nawaikoni S/C 100%</p>	
			<p>Ivukula S/C 100%</p>	
			<p>Nangonde S/C 0%</p>	
			<p>Kiwani S/C 40%</p>	
			<p>Mazuba S/C 100%</p>	
			<p>Namutumba S/C 100%</p>	
			<p>Magada S/C 0%</p>	
			<p>Nsinze S/C 0%</p>	
			<p>Nabwenyo S/C 100%</p>	
			<p>Nangonde T/C 0%</p>	
2	<p>N23_Service Delivery Performance: Average score in the Health LLG performance assessment.</p>	<p>b. If the average score in the RBF quality facility assessment for HC IIIs and IVs previous FY is:</p>	<p>There was no RBF activities during the FY under review following "A letter from the ministry of health dated 7th December 2022 addressed to all CAOs highlighting the termination of RBF Funding was availed"</p>	0
	<p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • 75% and above; score 2 • 65 - 74%; score 1 • Below 65; score 0 		

3	Investment performance: The LG has managed health projects as per guidelines.	a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.	The LG budgeted and spent the Health Development grant (Ugx 54,974,000) on the investment during the previous FY on eligible activities as per the health grant and budget guidelines; 1. Completion of fencing of Bulange HCIII UGX at Ugx 22,500,000 2. Installation of water storage tank at Bulange at HCIII Ugx 3,806,000 3. Renovation of 2 staff houses at Nsinze HCIV at Ugx 28,668,000	2
Maximum 8 points on this performance measure	Investment performance: The LG has managed health projects as per guidelines.	b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0	As per payment voucher N0. 6420332 amounting to UGX 195,495,456 to M/s Baisonga and Sons Ltd for the Upgrade of Nangonde HC II - HC III, the DHO, DE, DCDO and Environment officer certified works on 23rd June 2023 before payment was effected on 28th June 2023. therefore the LG was compliant	2
Maximum 8 points on this performance measure	Investment performance: The LG has managed health projects as per guidelines.	c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0	There was evidence for one project (Upgrading health facility) to determine variations in contract prices as shown below. Upgrading of Nangonde HCII. The contract sum of Ugx 909,477,589 The engineers estimate of Ugx 828,000,000 % Variations = 9.84%. The other projects implemented under the health department were on force account. Renovation of two staff houses at Nsinze HC IV Construction of staff house at Magada HC III Completion of fencing at Bulange HC II	2
Maximum 8 points on this performance measure				

3	Investment performance: The LG has managed health projects as per guidelines.	d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY	The upgrading of Nangonde HCII to HC III was at 90% completion level according to the ABPR for FY 2022/2023.	1
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 		
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure	There was evidence to confirm that Namutumba DLG recruited staff for all HC IIIs and HC IV as per the staffing structure dated 1st July 2023.	1
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The staff structure obtained from DHO indicated that the district had both HC IIIs and HC IVs whereby HC IIIs were required to have 19 health workers and 49 for HC IVs. there were 6 HCIIIs and 1 HCIV</p> <p>The LG health sector staff establishment list had 163 approved health workers of which 133 were in the post which represented 82% recruitment.</p> <p>This implied that 82% of positions of health workers for the available HC IIIs and HC IV were filled.</p>	
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.	<p>There was adherence to infrastructure designs from MoH during the construction of the Health sector projects as per the example below;</p> <p>The maternity ward structure was as per the design by MoH for instance the specifications require a terrazzo floor finish, the main entrance and exit opening were 1800mm wide, the window openings were of standard steel casement at 1500mm</p>	2
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If 100 % score 2 or else score 0 		

Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>According to the staff list at DHO's office and health facilities visited, the information on the position of health workers was accurate; visited facilities included;</p> <ol style="list-style-type: none"> 1. Nsinze HC IV had 42 staff out of the staffing norms of 49. <ul style="list-style-type: none"> • Nabisoyidi HCIII had 16 staff of the expected 19 • Ivukula HCIII had 15 staff of the desired 19 <p>The same staffing data was captured by the DHO's staff list and that proved the accuracy.</p>	2
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>According to the ABPR for the FY 2022/2023, the upgrading of Nangonde HCII to HC III was at 90% completion level and the civil works on completion of fencing of Bulange HC III, Installation of water storage tank at Bulange at HC III and Renovation of 2 staff houses at Nsinze HC IV were 100% completed, fully paid, commissioned and were in use.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence that the HCs' work plans and budgets were prepared by 31st March, 2023. As per sampled the facilities below;</p> <ol style="list-style-type: none"> 1. Nsinze HC IV, prepared Annual workplan and budget for FY 2022/2023 by the Facility In-charge and submitted on 24th March 2022, 2. Magada HCIII, prepared the Annual workplan and budget by the facility in-charge on 18th March 2022 3. Bulange HC III prepared the Annual workplan and budget by the facility in-charge on 28th February 2022 	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>From the sampled facilities, the ABPR were prepared and submitted to DHO before the deadline of 15th July as per the examples listed below;</p> <ol style="list-style-type: none"> 1). Nsinze HCIV, was prepared and submitted on 10th July 2023 by the Facility in-charge 2) Nabisoyidi HC III, was prepared and submitted on 12th July 2023 by the facility In-charge 3) Ivukula HC III, Was prepared and submitted on 14th July, 2023 by the facility In-charge 	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>From the Annual Health performance reports, the following sampled facilities developed and reported on implementation of improvement plans</p> <ol style="list-style-type: none"> 1) Nsinze HC IV developed and reported on the implementation of facility Improvement plans that incorporate performance issues identified included; under staffing, dysfunctional incinerator, limited space for inpatients compiled by in-charge on 15th August 2023 2)Ivukula HCIII developed and reported on the implementation of facility Improvement plans on 22nd June 2023 by in-charge, issues included, inadequate staff accommodation, develop partnerships with drug shop owners for referral of clients to Health facilities, lack of transport for referrals, no fencing for the facility leading to security risks compiled by in-charge on 20th July 2023 3) Bulange HC III developed and reported on the implementation of facility Improvement plans that incorporate performance issues identified in monitoring and assessment reports. E.g. prepared PIP on 15th June 2023 by in-charge, issues included; understaffing, drugs and supplies stockouts, lack of refer means of transport 	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 	<p>There was evidence that the health facilities submitted monthly and quarterly HMIS reports timely</p> <p>Nsinze HC IV timely submitted monthly and quarterly reports as indicated below;</p> <p>The monthly reports were submitted on;</p> <p>7th August 2022, 7th September 2022, 7th October 2023, 5th November 2022, 7th December 2022, 4th January 2023, 4th February 2023, 7th March 2023, 6th April 2023,</p>	2

Improvement support.

Maximum 14 points on this performance measure

5th May 2023, 7th June 2023 and 7th July 2023

The Quarterly reports were submitted on;

Q1 on 5th October, 2022,

Q2 on 4th January 2023,

Q3 on 5th April 2023

Q4 on 5th July 2023.

Ivukula HC Illtimely submitted monthly and quarterly reports as indicated below;

The monthly reports were submitted on;

7th day following the end of month. The monthly submission dates are as follows: 5th August 2022, 4th September 2022, 5th October 2022, 5th November 2022, 2nd December 2022, 5th January 2023, 5th February 2023, 5th March 2023, 5th April 2023, 1st May 2023, 5th June 2023 and 3rd July 2023

The Quarterly reports were submitted on; Q1 on 4th October, 2022,

Q2 on 6th January 2023,

Q3 on 5th April 2023

Q4 on 4th July 2023

Bulange HC Illtimely submitted monthly and quarterly reports as indicated below;

The monthly reports were submitted on;

7th day following the end of month. The monthly submission dates are as follows: 2nd August 2022, 5th September 2022, 3rd October 2022, 3rd November 2022, 7th December 2022, 7th January 2023, 7th February 2023, 6th March 2023, 5th April 2023, 2nd May 2023, 7th June 2023 and 7th July 2023

The Quarterly reports were submitted on;

Q1 on 6th October 2022,

Q2 on 6th January 2023,

Q3 on 6th April 2023 and

Q4 on 3rd July 2023.

Therefore, from the information Health facilities submitted up to date monthly and quarterly HMIS reports timely 7 days following the end of each month and quarter

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	<p>There was no RBF activities following the issuing of "A letter from the ministry of health dated 7th December 2022 addressed to all CAOs highlighting the termination of RBF Funding was availed"</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>There was no RBF activities following the issuing of "A letter from the ministry of health dated 7th December 2022 addressed to all CAOs highlighting the termination of RBF Funding was availed"</p>	1
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The sector compiled and submitted all the quarterly BPR for the FY under review as indicated;</p> <p>In Qtr 1, 16th October 2022, In Qtr 2, 7th January 2023 In Qtr 3, 2nd April 2023 In Qtr 4, 3rd July 2023</p> <p>From the information above it was evident that the LG timely by the end of the first month of the following quarter compiled and submitted all quarterly Budget performance reports</p>	1

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>There was evidence to show that LG developed a PIP for weakest performing Health facilities i.e Bulange HCII, Ivukula HCIII, Kagulu HCII and some of the gaps identified included lack of teamwork, understaffing, poor maintenance of equipment, inadequate supplies, inadequate accommodation for staff Some of the proposals included;</p> <p>Recruitment of new staff, disciplinary measures against staff, transfers among others were undertaken.</p>	1
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was evidence to prove that the LG implemented the Performance Improvement Plan for example: Recruitment of new staff, disciplinary measures against staff, transfers among others were undertaken.</p>	1
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>There was proof that Namutumba LG budgeted for the department of health workers in FY 2023/2024 as evidenced by the LG Annual budget Vote 906 FY 2023/2024. The department of Health was allocated Uganda Shillings 4,814,323,000</p>	2
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7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	From Namutumba DLG staff approved staff structure had 248 staff, the number recruited was 179, giving a percentage of 72% which was below the minimum recruitment level of 75%.	0
Maximum 9 points on this performance measure				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>There was evidence that the health workers were working at their deployed HCs. The assessment sampled and visited the HCs below to verify whether what was on (number and names) the DHO's deployment list tallied with the lists at the respective HCs. Those visited included;</p>	3
Maximum 9 points on this performance measure			<ul style="list-style-type: none"> • Nsinze HC IV, duty allocation schedules dated 1 October 2023, 42 staff were deployed out of 49. • Nabisoyidi HCIII, duty allocation schedules dated 1st October 2023, 16 staff were deployed out of 19 • Ivukula HCIII duty allocation schedules ated 1st October 2023, 15 staff were deployed out of 19 	
			<p>As per the duty allocation schedules there was evidence that the staff were working at their places of deployment</p>	
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>There was evidence that the DLG publicized health workers deployment on notice boards on 2nd July 2023, the facilities visited included, Nsinze HC IV, Nvukula HC III and Nabisoyidi HC III</p>	2
Maximum 9 points on this performance measure				

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

Appraisal forms for only 9 Health Facility In-Charges were presented for assessment and from the review it was noted that three of them were not appraised for FY 2022/23.

1. Wallijo Emmanuel, (Medical Clinical Officer) at Namutumba HC III was appraised by Ndhaye Richard (Senior Clinical Officer) on 5/6/2023

2. Kyebajja Gorret Esther, (Senior Clinical Officer) at Nsinze HC IV was appraised by Dr. Lubiite Allan (Senior Medical Officer) on 22/7/2023;

3. Lubiite Allan (Senior Medical Officer) at Nsinze HC IV was appraised by Dr. Kirya James (DHO) on 30/6/2023;

4. Ndhaye Richard (Senior Clinical Officer) at Namutumba HC III was appraised by Kabakubya Samuel (Principal Township Officer) on 30/6/2023;

5. Katume Harriet, (Senior Clinical Officer) at Nabisoigi HC III was appraised by Dr Allan Lubiite (Senior Medical Officer) on 24/7/2023;

6. Kyebajja Gorret Esther, (Senior Clinical Officer) at Nsinze HC IV was appraised by Dr Allan Lubiite (Senior Medical Officer) 24/7/2023;

The following were not appraised

1. Kaudha Shanifa, In-charge Bulange HC III was not appraised

2. Kamiza Ronald, (SMO) at Namutumba HC III was not appraised.

8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0	Appraisal forms for only 7 Health Facility workers were availed for assessment and from the review it was noted that 6 of them were appraised past the recommended time frame which was late .	0
	Maximum 6 points on this performance measure		<ol style="list-style-type: none"> 1. Nabirye Masitula,, (Enrolled Midwife) at Nangodi HC III was appraised by Balyejusa Mohamadi (Assistant Nursing Officer) on 6/7/2023 2. Igona Henry, (Enrolled Nurse) at Ivukula HC III was appraised by Kyebajje Gorret (Senior Medical Officer) on 17/4/2023; 3. Wamika Kenneth, (Dispenser) at Nsinze HC IV was appraised by Lubiite Allan (SMO) on 30/6/2023; 4. Byogero Beatrice (Enrolled Nurse) at Nabisoigi HC III was appraised by Namansa Martha (ANO) on 3/7/2023; 5. Musakuwona Oliver, (Enrolled Nurse)) at Namutumba HC III was appraised by Ndhaye Richard (Senior Medical Officer) on 2/8/2023; 6. Nabirye Sophia, (Enrolled Nurse)) at Nsinze HC IV was appraised by Mugoya Sylvia (ANO) 7/7/2023; 7. Nakwnga Lydia, (Enrolled Nurse) at Kigalama HC II was appraised by Ndhaye Richard (Senior Medical Officer) on 1/9/2023. 	
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	iii. Taken corrective actions based on the appraisal reports, score 2 or else 0	There was no evidence availed at the time of assessment that the LG took corrective actions based on the outcomes of the appraisals.	0
	Maximum 6 points on this performance measure			
8	Performance management: The LG has appraised, taken corrective action and trained Health Workers.	b) Evidence that the LG: i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0	There was evidence that the LG conducted continuous professional training of Health workers as indicated below; <ol style="list-style-type: none"> 1. Training report on protective measures (SOP) dated 28th July 2022 2. Training report on malaria management for health workers dated 19th December 2022 3. A training report on market-based sanitation activities dated 7th January 2023 	1
	Maximum 6 points on this performance measure			

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>A training database for FY 2022/2023 was availed by the LG and activity included, training on market-based sanitation activities, training of health workers on malaria management, training of health works on SOPs.</p>	1
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Management, Monitoring and Supervision of Services.

9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>There was a letter written by the CAO of Namutumba DLG to the PS Ministry of Health dated 20th August 2022 mentioning Health facilities benefitting from PHC Non-wage recurrent grants</p>	2
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9	<p>N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>According to the Annual work plan of Namutumba LG Vote 906 for FY 2022/2023, the total Budget for health department was Ugx 69,000,000</p> <p>The monitoring of health services was allocated Ugx 16,867,408</p> <p>Thus, the percentage allocation was $16,867,408/69,000,000 * 100 = 15.4\%$ which was above the 15 percent minimum</p>	2
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9

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

The LG did not do timely warranting/ verification of direct Health transfers to Health facilities for the last FY, in accordance with the requirements of the budget as per copy of the warrant availed to the assessment team;

Quarter 1 warrant was done on 12th August 2022 while cash limit date was on 25th July 2022; after 13 working days

Quarter 2 warrant was done on 20th October 2022 while cash limit date was on 7th October 2022. after 9 working days

Quarter 3 warrant was done on 19th January 2023 while cash limit date was on 5th January 2023. after 9 working days

Quarter 4 warrant was done on 25th April 2023 while cash limit date was on 17th April 2023. after 6 working days

The LG was not compliant for all quarters where the warrants were done beyond 5 working days after receipt of the cash limits from the MoFPED.

0

9

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

The invoicing and communication of all PHC NWR grant was done outside the timeframe;

• quarter one funds were released on 25th July 2022 and invoicing and communication was done on 29th August 2022; after 26 working days

• Quarter 2, funds released 7th October 2022 and invoicing and communication was done on 31st October 2022; after 15 working days

• Quarter 3, funds were released on 5th January 2023, invoicing and communication was on 19th January 2023; after 10 working days

• Quarter 4 , funds were released on 17th April 2023, invoicing and communication was on 5th May 2023; after 10 working days

0

N23_Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

The LG publicised all the quarterly financial releases to all the health facilities as below,

Quarter 1 date of receipt of the expenditure limits was 25th July 2022 and date of publishing was 28th July 2022 , after 3 days

Quarter 2 date of receipt of the expenditure limits was 7th October 2022 and date of publishing 19th October 2022, after 8 working days

Quarter3 date of receipt of the expenditure limits was 5th January 2023 and date of publishing was 19th January 2023, after 9 working days

Quarter 4 date of receipt of the expenditure limits was 17th April 2023 and date of publishing was 5th May 2023 , after 12 working days

LG delayed to publicize financial releases for Q2, Q3 and Q4

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0

There was proof that the DLG implemented DHMT recommendations of the sitting held as indicated;

Q1; 20th September 2022; servicing of a vaccine fridge in Ivukula HCIII, addressing the poor working relation between the in-charge Bulange HCIII and his subordinates

Q2, held on 20th January 2023 and recommended fencing of Ivukula HCIII, finding transport for outreach programmes for Ivukula, Bulange among others

Q3, held on 12th April 2023 and recommended staffing of Kagulu HCII to Upgrade to HCIII, staff transfers

Q4, held on 10th July 2023 and recommended enforcing the recommendations of Q1, Q2 and Q3

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>There were minutes of the performance review meetings where Health facility In-charges attended.</p> <p>Quarter 1 report dated 30th September 2022, all health facility in-charges participated, CDO, Veterinary officer, ART Counsellor, Education Officer, RPM, WASH</p> <p>Quarter 2 report dated 20th February 2023, all health facility in-charges participated, CDO, District V/Chair, Planner, DPO, RDC, Malaria Consortium, WASH,</p> <p>Quarter 3 report dated 30th April 2023, all health facility in-charges participated, CDO, Education officer, ART Counsellor, WASH, RPM</p> <p>Quarter 4 report dated 29th June 2023, all health facility in-charges participated, CDO, DPO, DIS, ART Counsellor, RPM, WASH</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>There were reports on the supervision visits conducted in the Health facilities and here examples included;</p> <p>Q1 support supervision report dated 6th October 2022</p> <p>Q2 support supervision report dated 5th January 2023</p> <p>Quarter 3 support supervision report dated 27th March 2023</p> <p>Quarter 4 support supervision report dated 23rd May 2023</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score 	<p>There was evidence that DHT ensured that HSDs carried out support supervision of lower-level health facilities.</p> <p>It was evidenced by a support supervision report</p> <p>In quarter 1 dated 23rd September 2022 and the Lower Health facility supervised included Magada HC III, Ivukula HC III, Nangode HC II among others</p> <p>In quarter 2, dated 15th December 2022 and the Lower Health facility supervised included bulange HC III, Kaguru HC III, Namutumba, among other</p> <p>In quarter 3, dated 23rd February 2023 and the Lower Health facility supervised included Lwatama HC II, Namusita HC II, Bugobi HC II among other</p> <p>In quarter 4, dated 22nd April 2023 and the Lower Health facility supervised included Kisumu HC II, Kayiti HC II, Namutumba prison among other</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was evidence that the recommendations made for specific corrective actions were implemented as indicated below;</p> <ol style="list-style-type: none"> 1. Partial fencing of Ivukula HCIII 2. Repair of the fridge in Ivukula HCIII 3. Transfer of Kyaterekera Paul incharge of Bulange HCIII to Nsinze HCIII 	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>Quarterly support supervision reports were available and were presented by the District Medicine Management supervisor i.e.,</p> <ul style="list-style-type: none"> • Q1 report dated 30th September 2022 • Quarter II report was dated 24th December 2022, • Quarter III was dated 31st March 2023 and • Quarter IV dated 28th June 2023, and these reports were referred to as essential, medicines supervision performance and recognition strategy (EMSPs) 	1

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	Namutumba DHO office budget for FY 2022/2023 was UGX133,006,000. Out of this a total of UGX 48,402,000 was allocated to health promotion and prevention activities. This was a proportion of 44.7%, which met the 30% minimum requirement.	2
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	There was evidence to confirm that the Namutumba District Local Government (DLG) DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the FY 2022/2023. The assessment team reviewed the quarterly progress report dated 20th September 2022, and 18th December 2022. The reports indicate that the District Local Government (DLG) has undertaken various activities, including organizing a radio talk show to mobilize the community for the measles-rubella campaign and Polio Round 2 campaign. According to the quarterly progress report submitted on 19th March, 2023, the department conducted a Village Health Team (VHT) engagement meeting to provide information and skills to VHTs regarding COVID-19 risk communication. The assessment noted that these reports were compiled by Mr. Balisanyuka Richard, a Senior Health Educator	1
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	There was evidence of the follow up action taken by the DHT on the Health promotion and prevention Issues according to the PHC work plan for FY 2023/2024 talking of revitalisation of infection prevention committees at high volume facilities compiled on 2nd August 2023 Community mobilisation and education at all Health facilities held quarterly health education on infectious diseases like Covid 19, TB etc held on 10th March, 2023	1
	Maximum 4 points on this performance measure			

Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The Asset register for the Health department Namutumba DLG provided and included the following items that were added in previous FY 2022/2023;</p> <p>3 motor cycles, 3 examination beds last updated on 15th November 2023.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);</p> <p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p> <p>score 1 or else score 0</p>	<p>The desk appraisal for all the 37 projects in the budget was conducted by the planning department and a report dated 12th /08/2022 was produced and forwarded to CAO. In the report, the officers pronounced themselves that all the projects were linked to the development plan e.g.</p> <p>Renovation of 2 staff houses at Nsinze HC III on page 159.</p> <p>In the report, the officers pronounced themselves that all the projects were linked to the DDP III on page 159.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>The District officers conducted the field appraisal of the Health sector projects, namely: Renovation of 2 staff houses at Nsinze HC III. In the forms used the officers indicated that appropriate technology was used and that the projects were in line with sector guidelines. They finally recommended their funding.</p>	1

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>The screening forms for the construction of OPD, maternity ward, staff house, placenta pit, waste pit and pit latrine for the upgrade of Nangonde HCII to HCIII in Nangonde Town Council prepared on 27th/01/2023 by the DCDO and environment officer</p> <p>The screening forms for the fencing of Magada HCIII in Magada sub-county prepared on 28th/02/2023 by the DCDO and environment officer.</p> <p>The screening forms for the renovation of DHO's office at the district headquarters Namutumba town council prepared on 28th/02/2023 by the DCDO and environment officer.</p> <p>The screening forms for the construction of health facility store and placenta pit at Kiraga HCII in Nangonde sub-county by the environment officer and DCDO as per the report dated 28th/02/2023.</p> <p>The screening forms for the partial fencing of Bulange HCIII in Bulange sub-county prepared on 28th/02/2023 by the DCDO and environment officer.</p>	1
<p>Maximum 4 points on this performance measure</p>	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence of a sector procurement plan being submitted on 12th May 2023 by Dr.Kiryia James (DHO) consisting of the following requests;</p> <ul style="list-style-type: none"> ● Purchase of equipment for Nangonde HCIII at Ugx 80,000,000. ● Extension of DHO's office at Ugx 135,045,736 ● Extension of maternity ward at Namutumba HCIII at Ugx 133,710,377. 	1
<p>Maximum 10 points on this performance measure</p>	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was evidence of a procurement request made on 14th August, 2023 by the DHO for an extension of a maternity ward at Namutumba HCIII of Ugx 112,710,378.</p>	1
<p>Maximum 10 points on this performance measure</p>				

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>The upgrading of Nangonde HC III was approved by the Contracts Committee under Min.634/DCC/2022 on 16th January 2023 and cleared by Solicitor General on 14th December 2022.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence of a properly established PIT for the Health projects implemented the previous FY through a letter dated on 27th March 2023. The PIT membership comprised of the following;</p> <p>Ms.Babita Harriet (Ag. District engineer) as the contractor manager</p> <p>Mr.Babalanda Hadid Khalif the DCDO</p> <p>Mr.Kumbuga Yusuf the Senior Labour officer</p> <p>Dr.Kiryia James the DHO</p> <p>Mr.Kiryia Moses as Environment officer</p> <p>Mr. Bamulanzeki George as the clerk of works</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was adherence to the standard technical designs provided by the MoH during the construction of the health infrastructure during the previous FY;</p> <p>The maternity ward structure was as per the design by MoH for instance the specifications require a terrazzo floor finish, the main entrance and exit opening were 1800mm wide, the window openings were of standard steel casement at 1500mm</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence of weekly consolidated reports for the civil works executed the previous FY as shown below;</p> <ul style="list-style-type: none"> ● A weekly report on the 10th June, 2023, the maternity ward and staff house were at ring beam level awaiting roofing, excavation of the pit of the 4 stance VIP latrine was ongoing. ● A weekly report on the 14th April, 2023, the block masonry works for the maternity ward and staff house had proceeded to about 4 courses of 200mm concrete blocks embedded in a mortar bed. 	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence for a site meeting on 17th March 2023 for Nangonde HC III in attendance of LC III, CAO, Project manager and the contractor. The issues discussed where of adherence to the standards and physical progress was at 17%</p> <p>A site meeting was held on 14th June 2023 and was attended by the LC5 Chairperson, RDC, Snr Labour officer, Contractor, DHO, District engineer, Clerk of works.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence of monthly supervision reports for the month of June on 3rd July 2023 where the physical progress of works was 40% (maternity ward, staff house where at block walling and the stance pit,medical waste pit,septic tank were at substructure level)</p> <p>Monthly report on 1st May 2023 for month of April .The superstructure works were up to lintel beams and the columns casted, other structures had not been started off.</p> <p>A monthly progress report on 3rd April 2023 for the month of March ,works were majorly still at substructure (ground floor slabs for the maternity ward, staff house were casted and under curing process).</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>The evidence for payments for the only infrastructure project under the district was as follows.</p> <p>Upgrading of Nangonde HC II to HC III</p> <p>A request for advance payment on 19th January 2023 of Ugx 272,843,277 by M/s.Baisonga and Sons Ltd .</p> <p>The payment of Ugx 272,843,277 via voucher 3559376 was effected on 1st February 2023.</p> <p>A request for payment was made on 20th June 2023 worth Ugx 527,690,886.</p> <p>The interim certificate of Ugx 195,857,456 signed by the District Engineer, Environment officer, DCDO, CAO, Principal Internal Auditor on 23rd June 2023 and payment of Ugx 197,495,053 was made on 28th June, 2023 via voucher 6420332.</p>	1
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13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There was evidence of a complete procurement file as a requirement by PPDA law.</p> <p>For the project of Upgrading of Nangonde HC II to HC III, Procurement Ref. No. MoH-UgiFT/wrks/22-23/0001 ,The contract signed with M/s.Baisonga and Sons Ltd was on 12th January 2023 was on file. The evaluation report was approved by the contracts committee on 27th September 2022 vide minute Min.634/DCC/2022 on 31st October 2022.The clearance by Solicitor General was on 14th December, 2022.</p>	1
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There was a centralized grievances log opened on 9th/ 08/2022 at the LG where grievances are recorded for further investigation and response to in the health sector and at the time of assessment there was no grievance recorded under the sector related to project implementation for the FY2022/2023.</p>	2
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15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>No evidence of dissemination of guidelines on healthcare/medical waste management was presented for assessment.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The health facilities had functional health care waste bins and placenta pits, waste pits, safety pits where ash was disposed after burning from the shallow pits.</p> <p>M/s Green Label Services Ltd was contracted by MoH with funding from USAID to manage health care waste at Health Centre IV and III that generate higher volumes of waste and there was evidence of waste collection forms dated 22nd/06/2023, 18th/05/2023, 18th/04/2023 and 14th/12/2022</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There was no documentary evidence provided on training records of health workers on medical/health care waste management at the time of the assessment.</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>There was no evidence provided for the incorporated of costed ESMPs into contract documents and BoQs for the implemented projects.</p>	0

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There was No land ownership documentary evidence for the sites where health projects were implemented in the FY2022/23 was presented for review at the time of assessment.</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>The LG presented Monitoring reports that proved that the Environment Officer and CDO conducted support supervision and monitoring of health projects during the previous Financial Year as indicated below;</p> <ol style="list-style-type: none"> 1. fencing of Magada HCIII 2. Partial fencing of Bulange HCIII 3. construction of health facility store and placenta pits at Kiranga HCII 4. Renovation of the DHO's 5. Construction of OPD, maternity ward, staff house, placenta pit, waste pit and pit latrine for the upgrade of Nangonde HCII to HCIII. <p>as per the reports dated 24th/03/2023 and 20th/06/2023 by the DCDO and the environment officer.</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>There were no E&S certificates availed to show Environment Officer and DCDO verified works prior to payment of the contractors.</p>	0

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>There was evidence obtained from the Ministry of Water and Environment MIS showing that water sources functionality in Namutumba DLG for 2022/2023 was at 90%</p>	2
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>There was evidence obtained from the Ministry of Water and Environment MIS showing that the functional WSCs in Namutumba DLG for 2022/23 was at 96%..</p>	2
2	<p>N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is;</p> <ul style="list-style-type: none"> • Above 80%, score 2 • 60% - 80%, score 1 • Below 60%, score 0 	<p>According to the LLG Performance Assessment Variance 2022 - 2023 results, Namutumba obtained 57% in 2022 and 38% in 2023 which gave a variance of -19%. The average score therefore was 47%</p> <p>From the results presented by the planner, 14 Sub counties were assessed among which included the following;</p> <p>Nabweyo S/C got 50%, Nsinze S/C got 60%, Magada S/C got 0%, Mazuba S/C got 100%, Namutumba S/C got 80%, Kiwanyi S/C got 30%, Nangonde S/C got 0%, Ivukula S/C got 0%, Nawaikona S/C got 50%, Kibaale S/C got 60%, Kizuba S/C got 70%, Bugobi S/c got 0%, Bulange S/c got 30% and Kagulu S/C got 0%. Thus the average score for the District under water sector was 37.9%</p>	0

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.

o If 100 % of water projects are implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

Namutumba DLG safe water coverage was 59% and thus the percentage allocation to LLGs below average were;

Bulange S/C at 50% received a design of a piped water system, a piped water scheme at phase I and 2 boreholes, Ivukula S/C at 32% received 2BHs plus an additional one from Kibu group a development partner with an MoU, Mazuba S/C at 46% received 1BH, and an additional Piped water scheme that gave 10 PSPs by Food for hungry a development partner with an MoU signed.

Therefore, out of the 31 water Point projects implemented in the FY 2022/2023; 17 were Implemented in S/C below the District average

Average, giving an overall percentage of 54%

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

From the three (3) sampled project contracts, the percentage contract price variations for all the three project contracts sampled were all within -+20% as follows;

1. Sitting , drilling and installation and platform casting of the 11 boreholes and 1 Production well, Proc.Ref no. Namu 574/Wrks/22-23/00002

· Engineer's estimate = 349,700,000

-Contract sum = 349,085,300

Percentage contract price Variation =0.0%

2. Construction of a 4 Stance VIP latrine at Butoboole RGC, Namu 574/Wrks/22-23/00004

· Engineer's estimate = 21,100,000

· Contract sum = 24,381,650

Percentage contract price Variation = 16.0%

3. Partial Construction of Bubusa piped water scheme, Proc.Ref no. Namu 574/Wrks/22-23/00001

· Engineer's estimate = 196,765,063

· Contract sum = 195,982,483

Percentage contract price Variation = 0.4%

N23_Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

From the Approved Budget performance report for FY 2022/2023 submitted to the ministry on 14/07/2023.

The LG planned to drill 17 Deep Boreholes, a production well, plus 2 piped water supply scheme, a design, a 4-stance lined pit latrine and to rehabilitate 25 sources.

All the 21 projects were implemented and completed in the FY 2022/23 as stated

Thus the percentage of projects completed as per the AWP was 100% (21/21 * 100)

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>From the Ministry MIS, there was an increase in the percentage of functional water facilities between the FY 2021/22 and 2022/23.</p> <p>Percentage of functional water facilities in the FY 2022/23 = 90%</p> <p>Percentage of functional water facilities in the FY 2021/22 = 8%</p> <p>percentage change = 2%</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>From the Ministry MIS, there was a negative Increase in the percentage of rural water and sanitation facilities with functional committees.</p> <p>Percentage of rural water facilities with functional water and sanitation committee in the FY 2022/23 was 96%</p> <p>Percentage of rural water facilities with functional water and sanitation committee in the FY 2021/22 was 99%</p> <p>Percentage Increase =- 3%</p>	0

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

Maximum 3 points on this performance measure

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported:
Score: 3

The DWO accurately reported on WSS facilities constructed in the previous FY and performances of the facilities were as reported.

From page 3 of 4 of the excel attachment to the annual progress report approved on 14th/07/2023, the DWO drilled and installed 17 deep boreholes in the FY 2022/2023

Findings from the field visit of the three sampled projects showed that all projects were in place and functional, water yield and water quality was visually good, however the boreholes were not well protected, and some of the WUCs were not performing to their expectation.

The 3 sampled projects included,

Mbulaitakali Village borehole (DWD No. 71106) found in Bulange S/c.

Ituba A Village borehole (DWD No. 71094) found in Namutumba S/c

A 4-stance lined VIP latrine at Butoboole RGC in Kiwanyi S/C

The sampled members of the WSCs was able to remember the training that was given to them about the O & M including daily cleaning of the water source, fencing the water sources and collection of the water user fees of 1000 per household.

5

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

There was evidence that the LG water office collects and compiles quarterly information on sub-county water supply and sanitation as evidenced below.

Quarter 1 report was submitted on 14/10/2022 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was found in the soft ware report attached

Similarly, quarter 2 report was submitted on 13th/01/2023 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was still found in the detailed software report attached

Quarter 3, report was submitted on 14th/04/2023 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was found in the soft ware report.

Quarter 4 report was submitted on 14th/07/2023 and the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement was found through the pages

2

5

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

The LG Water Office updated the MIS (WSS data) quarterly with water supply and sanitation information on (new facilities, population served, functionality of WSCs and WSS facilities.

The form 1s for the 17 newly drilled and installed boreholes and form 4 monitoring report tools used for the monthly update were attached to the DWO MIS and original copies submitted to the ministry for the water atlas updated and MIS update on 4th/08/2023.

3

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>There was no evidence that the DWO had developed PIPs for the 25% lowest performing LLGs.</p> <p>The DWO ilankly informed the assessment that "we have not supported them but we are going to start supporting them in the forthcoming year".</p>	0
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Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>There was evidence that DWO budgeted for the Water Office Staff. This data was obtained from the performance contract on page 49, Vote 906, totalling to UGX. 58,290,000. For the following staff</p> <ol style="list-style-type: none"> 1. Civil Engineer (Water) 2. Borehole Maintenance Technician. <p>The rest of the staff were seconded from other departments</p>	2
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6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that the District Natural Resources Officer budgeted for the Water Office Staff. This data was obtained from the performance contract on page 53, Vote 906, totalling to UGX. 231,618,000. For the following staff</p> <ol style="list-style-type: none"> 1. Senior Environment Officer 2. Environment Officer Officer and 3. Forestry 	2
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7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The water office had two staff (the DWO nd and the Borehole Maintenance Technician). Therefore, the DWO conducted the appraisal for the Borehole Maintenance Technician (Mukama Samuel) on 30th /6/2023.</p>	3
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Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

The DWO presented a Capacity needs Assessment Report for the FY 2022/2023 addressed to the CAO through the PHRO stating the gaps that were identified in the staff within the department

Some of the gaps identified were in regards to;

Refresher training in records

Interpretation of basic hydrogeological data in preparation for well drilling

The DWO reported that training had not been conducted because no funds weren't availed.

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
-
- • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- • If 80-99%: Score 2
- • If 60-79: Score 1
- • If below 60 %: Score 0

From page 2 of 3 of the excel sheet attachment (Budget line) to the AWP for the current FY approved on 14th/07/2023, DWO allocated all of the budget to the five sub counties below the district average

Sub counties that were below the district average (59%) in the FY 2023/2024 included Bulange S/c at 48%, Ivukula S/c at 31% and Mazuba S/c at 44%

The DWO allocated two (2) new boreholes to Bulange S/c amounting to UGX 40,020,000, a partial construction of a piped water scheme amounting UGX 294,886,000 and Titling of the land where the Bubusa piped water was stationed worth UGX 7,941,000

Ivukula S/c received 2 boreholes worth UGX 40,020,000 and a production well amounting to UGX 45,500,000

Mazuba S/c received 1 borehole worth UGX 20,010,000 and a piped water system at worth UGX 432,046,883 from Food for the hungry a development partner who had an MoU with the LG

Total project budget for sub counties with safe water coverage below the District average was Ugx 880,423,883

Total project Budget for all the sub counties was Ugx 1,097,983,883

Percentage budget allocation to sub counties below the district average
 $= (880,423,883)/(1,097,983,883) * 100 = 80.2\%$

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>There was evidence that the DWO communicated to the LLGs their respective allocations for the current FY 2023/2024</p> <p>The communication was publicized on the district notice board on 26/05/2023. some of the allocations were as below;</p> <p>Two (2) new boreholes to Bulange S/C amounting to UGX 40,020,000, a partial construction of a piped water scheme amounting UGX 294,886,000 and Titling of the land where the Bubusa piped water is stationed worth Ugx 7,941,000</p> <p>Ivukula S/c received 2 boreholes worth UGX 40,020,000 and a production well amounting to UGX 45,500,000</p> <p>Mazuba S/c received 1 borehole worth UGX 20,010,000 among others</p>	3
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>The District Water Office monitored on average 90% of WSS facilities quarterly as follows;</p> <p>From the List of water and sanitation projects (form 4), and DWO MIS, Namutumba district had 614-pointwater facilities.</p> <p>Monitoring in the first Quarter took place from 4 July to 5th August 2022 and a monitoring report compiled on 15/08/2022,</p> <p>Monitoring in the second Quarter took place from 27th October to 24th of November 2022 and a monitoring report compiled on 28th/11/2022,</p> <p>Monitoring in the third Quarter took place from 12th January2022 to 15th of February 2023 and a monitoring report compiled on 17 March/2023,</p> <p>In the fourth (4) quarter, Monitoring was between 10/04/2023 to 15/5/2023 and a monitoring report dated 30th May 2023, was compiled by Mukama Samuel the BMT Technician Namutumba DLG.</p>	2

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

There was evidence that the DWO conducted quarterly DWSCC meetings and discussed the key issues presented in the monitoring reports.

The following quarterly minutes for DWSCC quarterly meetings were in place with rehabilitation and water user committee training needs discussed;

1. Quarter 1 DWSCC minutes dated 12/09/2022 with key issues discussed under Min Coord/27/09/23

2. Quarter 2 DWSCC minutes dated 15/12/2022 with key issues discussed under Min. Coord/22/12/23

3. Quarter 3 DWSCC minutes dated 30/03/2023 with key issues discussed under Min.

4. Quarter 4 DWSCC minutes dated 20/06/2023 with key issues discussed Min. Coord/20/06/23

Some of the remedial actions were;

Upscaling reactivation of Non-Functional WSCs to address the breakdown aspect

Upscaling construction of piped water systems as opposed to point water systems

Retraining and formation of new water committees to reduce on the break down of the sources among other issues discussed

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>The communication was publicized on the district notice board on 26th/05/2023. some of the allocations were as below;</p> <p>Two (2) new boreholes to Bulange S/c amounting to UGX 40,020,000, a partial construction of a piped water scheme amounting UGX 294,886,000 and Titling of the land where the Bubusa piped water was stationed worth UGX 7,941,000</p> <p>Ivukula S/C received 2 boreholes worth UGX 40,020,000 and a production well amounting to UGX 45,500,000</p> <p>Mazuba S/C received 1 borehole worth UGX 20,010,000 among others.</p>	2
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>The DWO allocated 41.3% of the NWR rural water and sanitation budget greater than 40%.</p> <p>From the annual software report for the previous FY 2022/2023 On page 1 of 3 of the budget line attachment to annual progress report for the FY 2022/2023, the DWO allocated UGX 31,095,637 equivalent to 41.3% of the NWR rural water and sanitation budget UGX 75,215,635 towards community mobilisation activities</p>	3

10

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

There was evidence that the DWO in liaison with the Community Development Officer established and trained WSCs on their roles on O&M of WSS facilities as in the following training activity reports.

From the annual software report for the previous FY 2022/2023 dated 8th/11/2022, 17 new WUCs were formed and trained, 20 non-functional committees were re-activated.

From the sampled members of the WSCs, they were able to remember the training that was given to them about the O & M including daily cleaning of the water source, fencing the water sources and collection of the water user fees of 1000 per household i.e

From the sampled WSS in Mbulaitakali Village borehole (DWD No. 71106) found in Bulange S/c, Ituba A Village borehole (DWD No. 71094) found in Namutumba S/c, the sampled members of the WSCs were able to remember the training that was given to them about the O & M including daily cleaning of the water source, fencing the water sources and collection of the water user fees of 1000 per household.

Investment Management

11

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:

Score 4 or else 0

There was an updated LG asset register which set out water supply and sanitation facilities by location and LLG.

The DWO presented an updated asset register that was last updated on 30th/06/2023 with new water sources constructed in the previous FY 2022/2023.

The latest Borehole updates as per June 2023 by the ministry were, DWD 78976 located in Nabiswa village of Magada Sub county, DWD 78973 located in Bugabo Primary school in Nsinze sub county, DWD 78942 located in Makwi village of Nangonde Sub county among others.

4

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>The Desk appraisal was conducted by District officials led by the planner by filling forms which were endorsed by all and a report compiled on 21st September 2022 by Mary Ndikuwa the senior Planner</p> <p>Under the Water department the projects appraised and derived from the DDP III included:</p> <p>The drilling, casting and installation of 17 deep well boreholes, a 4 stance Public latrine, a Piped water scheme among others.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The DWO presented a community application file for the current FY with current application forms/letters.</p> <p>Some of the applications found in the file included;</p> <p>Request of a BH source in Kabale B village, of Mpumiro Parish in Bulange S/C on 8th March 2022 signed by Mulondo Fred the LC I chairperson</p> <p>Request of a BH in Nabinyonyi village, of Nabinyonyi parish in Kiwanyi S/C on 11th November 2022 signed by Naigaga Damali the LC1 Chairperson</p> <p>Request of a borehole source in Kyaala Village, Nabinyonyi Parish of Magada Sub county on 15th February 2018 by kisikwe Isabirye the LC1 Chairpersn.</p>	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The field appraisal was conducted by District officials led by the planner by filling forms which were endorsed by all and a report compiled on 21th September 2022 by Mary Ndikuwa the senior Planner</p> <p>Under the Water department the projects appraised and derived from the DDP III included:</p> <p>The drilling, casting and installation of 17 deep well boreholes, a 4 stance Public latrine, a Piped water scheme among others.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There were filled environment, social and climate change screening forms for the drilling, casting and installation of 17 boreholes at the LG in the previous FY2022/2023 and costed ESMPs for all of 17 boreholes were presented for assessment as per the evidences presented below;</p> <p>The Screening for the drilling, casting and installation of 17 deep well boreholes in the sub-counties of Mazuba, Ivukula, Kagulu, Nawaikona, Nangonde, Kibaale, Kizuba, Bulange, Nabweyo, Namutumba, Kiwanyi and Namutumba T/C under procurement Ref No. NAMU574/WRKS/22-23/00002</p> <p>Contractor: M/S East Africa Boreholes Ltd prepared by Environment officer and the DCDO and costed ESMP of Ugx 7,950,000 for 17 boreholes was incorporated into the BoQ under Bill 6: cross cutting issues and handover item 6.1 to 6.3.</p>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>The evidence for an approved procurement plan endorsed on 15th June 2023 by CAO (Ofwono Emmanuel). The approved Procurement Plan was incorporating water investments projects as listed below;</p> <ol style="list-style-type: none"> 1. Partial construction of a piped water system at Bubusa in Bulange S/C at Ugx 196,765,063 on page 5 2. Motorised drilling, casting and installation of 17 hand pumped deep wells and one production well at Ugx 349,000,000 on page 5 3. Construction of a 4-stance lined pit latrine at Butoobole RGC at Ugx 21,100,000 on page 5 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence that the water supply and public sanitation infrastructures for the previous FY were approved by the Contracts Committee before commencement of civil works as indicated below;</p> <ul style="list-style-type: none"> ● Partial construction of a piped water system at Bubusa in Bulange s/c was approved by the contracts committee on 18th October 2022 vide Min.16/NDCC/18/10/2022 ● Motorised drilling, casting and installation of 17 hand pumped deep wells and one production well was approved on 24th August 2022 vide Min.07/NDCC/24/08/2022-23-vii. ● Construction of a 4 stance lined pit latrine at Butoobole RGC was approved on 10th February 2023 vide Min.32/NDCC/10/02/2022-23-i 	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was evidence that the District Water Officer properly established the Project Implementation team (PIT) as specified in the Water sector guidelines on 21st November 2022. The members of the PIT were;</p> <p>Ms.Babita Harriet (Ag.District engineer) as the Contract manager</p> <p>Mr.Babalanda Hadid Khalif the DCDO</p> <p>Mr.Ikaaba Dauda the DNRO</p> <p>Mr.Kumbuga Yusuf the Labour officer</p> <p>Mr.Kiryia Moses the Environment officer and</p> <p>Mr,Kagwa Abbey (DWO) as the project manager. and clerk of works.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>There was evidence that water sampled projects were constructed as per the standard technical designs as shown below;.</p> <p>The 3 sampled projects included;</p> <p>Mbulaitakali Village borehole (DWD No. 71106) found in Bulange S/C, Ituba A Village borehole (DWD No. 71094) found in Namutumba S/C, A 4-stance lined VIP latrine at Butoboole RGC in Kiwanyi S/C</p> <p>All projects were in place , boreholes were well protected with no deep latrines in the radius of 30m, water quality and yield was generally good</p>	2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

There was evidence of monthly technical supervision by the technical officers as per the reports presented by the water officer which included;

- Progress report for the 17 boreholes on the 15th June 2023 showed all the 17 sited villages where the boreholes were to be installed; Mbulaitaki, Nabilala, Bulembo East, Namwenda III, Nawantale, Kasozi-Mayuge, Magada, Naduali central, Budatu, Ituba A, Nawampado, Namakoka A, Butagala and Namavundu central.

- Progress report on the 17th April 2023, showing work done for 13 boreholes but where poorly installed and the 4 sites were dry wells.

- Progress report prepared on 23rd March 2023 indicated works done were of poor concrete mixes and the channels were less by 6m, this report showed works implemented by the contractor were rejected.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

- o If 100 % contracts paid on time: Score 2
- o If not score 0

There was evidence that the DWO had verified works and initiated payments of contractors within specified timeframes in the contracts as by the examples below;

- The partial construction of the piped water system. There was a request for payment on 4th April 2023 by M/s.RobichEngineering Services Ltd of Ugx 195,982,483. The interim payment certificate of Ugx 175,012,357 was prepared and signed on 6th April 2023 by DWO, District Engineer, Internal auditor, Environment Officer. The payment of of Ugx 175,012,357 via voucher 4762242 was made on 14th April 2023.

- Drilling .casting and installation of 17th boreholes .The certificate worth Ugx 170,607,820 for works done was prepared on 23/06/2023 and signed by the DWO, District engineer, Internal auditor and Environment officer. Payment of Ugx 2,567,695 and Ugx 52,044,043 via voucher 6423670 was made on 23rd June 2023.

12

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

There was evidence of complete procurement files for water infrastructure investments implemented the previous FY as indicated below;

- Partial construction of piped water system at Bubusa. Procurement ref no.Namu574/wrks/2022-23/00001. Contrct signed on 23rd April 2023 with Ms.Robich Engineering Secrives Ltd was on file. The evaluation report dated 21st September 2022 was on file and the contracts committee award minutes were Min.16/NDCC/18/10/2022-23 dated 8th October 2022 were seen.

- The construction of a 4-stance lined pit latrine at Butoobole RGC. Procurement ref no.Namu574/wrks/22-23/00004 with a contract agreement signed with M/s.Sonsole General Contractors on 17th March 2023 was found present on file. The evaluation report was approved on 31st January 2023 by the Contracts Committee vide minutes Min.32/NDCC/10/02/2022-23-i..

- Motorised drilling, casting and installation of 17 hand pumped boreholes. Procurement ref no Nmau574/wrks/22-23/00002. The contract was signed on 21st October 2022 with M/s. East Africa Boreholes Ltd was presented, the evaluation report was approved by the contracts committee on the 22nd August 2022 vide minute Min.07/NDCC/24/08/2022-23-vii was seen. The Contracts Committee approval of award was on 24th August 2022 and the clarence by the Solicitor General, Mr. Olocho Isaac on 10th October 2022 was on file.

Environment and Social Requirements

13

Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework

Maximum 3 points this performance measure

Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:

Score 3, If not score 0

The LG had a grievance redress framework and grievance log where grievances were recorded for further investigation and response. The LG had no recorded grievances from the water sector project implementation for the FY2022/23 under review which was opened on 9th/08/2022.

3

14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was evidence of dissemination of guidelines on Water source & catchment protection and Natural resource management titled “framework and guidelines for water source protection volume 3: guidelines for protecting water sources for point water systems 2013” evidenced by the meeting held on 30th/08/2022 under minute SOC/30/08/07/22 dissemination of water source and catchment protection guidelines and natural resources management by NRO and in attendance were CDOs from sub-counties of;</p> <ol style="list-style-type: none"> 1. Weere Nelson the CDO Namutumba sub-county 2. Nakaziba Mary the CDO 3. Kairu Nicholas the CDO Bugobi 4. Aliba Lydia the CDO Nawaikona 5. Mugugwa Enoch the CDO Ivukula sub-county 6. Musalirwe Hillary the CDO Nsinze 7. Mugaba Anthony the CDO Bulange 	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>There was evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented as per the examples below;</p> <p>The Screening for the drilling, casting and installation of 17 deep well boreholes in the sub-counties of Mazuba, Ivukula, Kagulu, Nawaikona, Nangonde, Kibaale, Kizuba, Bulange, Nabweyo, Namutumba, Kiwanyi and Namutumba T/C under procurement Ref No. NAMU574/WRKS/22-23/00002</p> <p>Contractor: M/S East Africa Boreholes Ltd prepared by Environment officer and the DCDO and costed ESMP of Ugx 7,950,000 for 17 boreholes was incorporated into the BoQ under Bill 6: cross cutting issues and handover item 6.1 to 6.3.</p>	3

15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 3, If not score 0	The DLG had evidence of all the MoUs on land where the water sources were implemented as per the examples below; 1. An agreement dated 27/07/2022 between Kisimo A village and Obbo James the land owner for a Borehole drilling in Kizuba S/C signed by Kabbaya Wilson the LC1 chairman 2. An agreement dated 28/02/2022 between Kamwokya and Kamyia Moses the land owner for a Borehole drilling in Bugobi S/C witnessed by Mugisha Aub the LC1 chair man 3). A land agreement dated 28/02/2023 between Namutumba DLG water office measuring 100/79 feet and Mr. Kibwika Zebuloni in Bulange S/c for a piped water system. The land was sold at 3 million Uganda shillings	3
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects: Score 2, If not score 0	There was no evidence of signed E&S Certification forms by Environmental Officer and CDO prior to payments of contractors for the projects implemented the previous FY.	0
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 2, If not score 0	There was no evidence presented to prove that the CDO and Environment Officer undertook monitoring and evaluation in form of monitoring reports.	0

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-grant beneficiaries – score 2 or else 0</p>	<p>There was evidence to show that LG had up to- date data on irrigated land as per the data sheets for the last two FYs signed by CAO as indicated below;</p> <p>Data sheet on irrigated land for FY 2021/2022 signed by CAO on 26th June 2022</p> <p>MSI non-grant beneficiaries was 60Acres</p> <p>MSI grant beneficiaries was 0 Acres</p> <p>Data sheet on irrigated land for FY 2022/2023 signed by CAO on 23rd May, 2023</p> <p>MSI non-grant beneficiaries was 60 Acres</p> <p>MSI grant beneficiaries was 2.22Acres</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>There was evidence to show that the LG had increased acreage of newly irrigated land in the previous FY as compared to previous FY but one as indicated below;</p> <p>Total irrigated land FY 2021/2022 was 60 acres</p> <p>Total irrigated land FY 2022/2023 was 62.22acres</p> <p>Therefore, increment was 2.22 acres giving percentage increment of $(2.22/60 \times 100) = 3.7\%$</p>	1

N23_Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4

a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:

- Above 70%, score 4
- 60% - 70%, score 2
- Below 60%, score 0

The LG average score in the micro-scale irrigation for LLG performance assessment was 83% in 2022 and **55%** in 2023 that presented a variance of 28% according to the LLG Performance Assessment Variance 2022 - 2023.

The assessment team reviewed report for LLGs performance assessment for FY 2022/2023 Namutumba DLG and the scores for MSI were as follows

Kibale T/C 40%

Ivukula T/c 100%

Namutumba T/C 100%

Nsinze T/C 100%

Bugobi T/C 0%

Kagulu S/C 60%

Bulange S/C 80%

Bugobi S/C 0%

Kizuba S/C 0%

Kibale S/C 20%

Nawaikoni S/C 100%

Ivukula S/C 100%

Nangonde S/C 0%

Kiwani S/C 40%

Mazuba S/C 100%

Namutumba S/C 100%

Magada S/C 0%

Nsinze S/C 100%

Nabwenyo S/C 100%

Nangonde T/C 0%

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	The LG falls under phase II category, therefore the development component of the grant for the previous FY 2022/2023 was used for setting up 4 demonstration sites at Nsinze S/C , Ivukula S/C, Kizuba S/C and Namutumba subcounty as illustrated below The total grant was UGX 275,170,131 Total cost for installation of demo sites was UGX 85,299,700 representing 31% of the MSI grant	2
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	The LG never provided copies of farmer acceptance forms to the assessment team as evidence that equipment was working well before payments were made	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	The variations in the contract price and Engineer's estimates for setting up demonstration sites were as follows: • Supply and installation of demonstration site at Namutumba Seed school, Namutumba S/C budgeted at UGX 20,637,759.75 actual was UGX 20,637,759.75 with a variation of UGX 0 represented by 0% • Supply and installation of demonstration site at Ivukula S/C budgeted at UGX 20,637,759.75 actual was UGX 20,637,759.75 with a variation of UGX 0 represented by 0% • Supply and installation of demonstration site at Kizuba S/C budgeted at UGX 20,637,759.75 actual was UGX 20,637,759.75 with a variation of UGX 0 represented by 0% The variations were within the range of +/- 20% provided in the manual.	1
Maximum score 6	Maximum score 6	Maximum score 6		

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	There was evidence to show that the Micro scale irrigation equipment were installed/completed within the previous FY 2022/2023 as indicated below;	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 	<ul style="list-style-type: none"> • A certificate of completion for the installation of irrigation demonstration sites at 4 modal farmers was issued by the SAE on 6th April 2023 • From quarter 4 budget performance report for FY 2022/2023 approved by CAO on 19th September 2023, Page 4, installation of msi equipment at the 4 demo sites was 100% completed 	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	Based on the staff structure dated September, 2016 the LLGs were supposed to have 57 extension workers.	0
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 	However, based on the staff list dated 10th November, 2023 P1-2 showed that 20 (35%) staff were recruited in the district.	
			$20/57*100 = 35\%$	
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF	As per MAAIF Technical guidelines issued on 24th November 2020, On page 12, MSI equipment installation should be;	2
	Maximum score 6	<ul style="list-style-type: none"> • If 100% score 2 or else score 0 	Upto 2.5 acres of irrigated land coverage	
			Simple technology used to include solar pump, small petrol pump, horse pipe, sprinkler and drip	
			An already existing water source was available and with 700m from the irrigated land	
			All the 4 visited sites for i.e, Ivuluka, Namutumba Seed school, Nsinze and Kizuba were found to be compliant with the requirement	

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> • If 100% are functional score 2 or else score 0 	<p>From the sites visit made to Namutumba seed school, Kizuba Sub-county and Ivukula Sub-county micro scale irrigation demonstration sites, the MSI system installed at Namutumba Seed school (Namutumba S/C) was found non-functional due to a faulty pump controller rendering the equipment non-functional at the time of the assessment. However the system at Kizuba sub-county, Nsinze and Ivukula were tested and found functional.</p>	0
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>Three LLGs including two sub counties of Kibaale, Nabweyo and Namutumba TC were sampled and visited on 24/11/2023. Evidence revealed that the information on the staff list was accurate. Based on daily attendance signed by extension workers, it was confirmed that:</p> <p>i. Nakiyaga Jesca (AO), was working in Kibaale S/C.</p> <p>ii. Mukukule Alex (Vet. Officer) and Isiko Jafali (AO) were deployed in Nabweyo Sub County.</p> <p>iii. Nyombi Abdul (Agric. Officer), was deployed to Namutumba T/C.</p>	2
5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>A site visit to Namutumba seed school, Nsinze, Kizuba and Ivukula MSI demonstration sites confirmed that the equipments were installed and functional confirmed that the information on micro-scale system installed and functioning was accurate.</p>	2

6 Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans
Maximum score 6

a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0

Quarterly supervision and monitoring reports detailing information on newly irrigated land, functionality of irrigation equipment installed, complimentary services and farmer expression of interest were availed as indicated below

Q1 report was compiled by SAO and endorsed by CAO 15th October 2022

Q2 report was compiled by SAO and endorsed by CAO on 15th January 2023

Q3 report was compiled by SAO and endorsed by CAO on 30th March 2023

Q4 report was compiled by SAO and endorsed by CAO on 30th June 2023

The reports contained information like; Number of demos 4 , Number of EOI 281, Number of awareness(leaders) 6, Number of awareness (farmers) 8 and Number of farmer visits 58.

2

6 Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans
Maximum score 6

b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0

There was evidence to show that LG had entered up to-date LLG information into MIS as indicated below;

MIS data for FY 2022/2023

	Target	Actual
Number of demos	4	4
Number of EOI	319	281
Number of awareness(leaders)	8	6
Number of awareness (farmers)	11	8
Number of farmer visits	160	58

1

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	Quarterly supervision and monitoring reports detailing information on newly irrigated land, functionality of irrigation equipment installed, complimentary services and farmer expression of interest were availed as indicated below	1
	Maximum score 6		Q1 report was compiled by SAO and endorsed by CAO 15th October 2022	
			Q2 report was compiled by SAO and endorsed by CAO on 15th January 2023	
			Q3 report was compiled by SAO and endorsed by CAO on 30th March 2023	
			Q4 report was compiled by SAO and endorsed by CAO on 30th June 2023	
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	The LG did not provide evidence for the development and implementation of PIPs for the lowest performing LLGs for the FY 2022/2023.	0
	Maximum score 6			
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	The LG did not provide evidence for the implementation of PIPs developed based on the performance of LLGs in FY 2022/2023.	0
	Maximum score 6			

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0</p>	<p>From the supplementary budget and work plan for current FY 2023/2024 approved on 15th November 2023 on page 25, the LG budgeted UGX 1,051,230,000 for extension workers</p> <p>The LG deployed extension staff as per the staff register for Agriculture extension staff to LLGs for current FY 2023/2024 dated 1st July 2023</p>	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	<p>ii Deployed extension workers as per guidelines score 1 or else 0</p>	<p>Based on the three LLGs sampled that included two sub counties of Kibaale, Nabweyo and Namutumba TC that were visited on 24th/11/2023, it was evident that the deployment of extension workers conformed to the guidelines.</p> <p>i.Nakiyaga Jesca (AO), was working in Kibaale S/C.</p> <p>ii.Mukukule Alex (Vet.Officer) and Isiko Jafali (AO) were deployed in Nabweyo Sub County.</p> <p>iii. Nyombi Abdul (Agric.Officer), was deployed to Namutumba Town Council.</p>	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	<p>b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0</p>	<p>Based on the three LLGs sampled that included two sub counties of Kibaale, Nabweyo and Namutumba TC that were visited on 24th/11/2023, it was evident that:</p> <p>i.Nakiyaga Jesca (AO), was working in Kibaale S/C.</p> <p>ii.Mukukule Alex (Vet.Officer) and Isiko Jafali (AO) were deployed in Nabweyo Sub County.</p> <p>iii. Nyombi Abdul (Agric.Officer), was deployed to Namutumba T/C.</p> <p>The information obtained from the visit at the LLGs was rhyming well with the records at the DHO's office.</p>	2

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board.
Score 2 or else 0

From the DPO's notice board and the from the three LLGs sampled that included two sub counties of Kibaale, Nabweyo and Namutumba TC, there was evidence of displaying staff list on the LLG notice board.

Performance management: The LG has appraised, taken corrective action and trained Extension Workers

Maximum score 4

a) Evidence that the District Production Coordinator has:

i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0

Evidence from the reviewed 10 files of extension workers revealed that 8 of them were appraised in the correct timelines and two of them in July and November which was late in accordance with MoPS CSI. More details were highlighted below;

1.Kikoby Elizabeth, Agricultural Officer of Magada SC was appraised by Mugoya Ronald, SAS on 30th/6/2023;

2.Isiko Jafali, Agriculture Officer, of Nabweyo S/C was appraised by Mwemeke Juliet, SAS on 6th/6/2023;

3.Mugomba Ivan, Agricultural Officer, of Ivukula S/C was appraised by Musiba Ronald, SACAO on 30th/6/2023;

4.Nyombi Abdul, Agricultural Officer of Namutumba T/C was appraised by Kabakubya Samuel, Town Clerk on 27th/6/2023;

5.Lwanda Joel, Agricultural Veterinary Officer of Bulange S/C was appraised by Balimwiti Ali, SAS on 11th/7/2023;

6.Kiirya Aggrey, Animal Husbandry Officer of Ivukula S/C was appraised by Musiba Ronald, SAS on 30th/6/2023;

7.Waibi Sarah, Animal Husbandry Officer of Nsinze S/C was appraised by Kagere Umar, Ag. SAS on 30th/6/2023;

8.Lubiite Michael, Assistant Veterinary Officer of Magada S/C was appraised by Mugoya Allan, SAS on 30th/6/2023;

9.Kayunza Ronald, Agricultural Officer of Mazuba S/C was appraised by Wakabi Nathan, Ag. SAS on 27th/6/2023;

10.Baitengwa Charles, Agricultural Officer of Bulange S/C was appraised by Balimwiti Ali, SAS on 16th/11/2023 (late appraisal)

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	There was no evidence availed to the assessment in respect of DPO having undertaken corrective measures by the sssessment time.	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	The LG provided documentary evidence to show that training activities were conducted as indicated below; A training report on Technical support for the MSI programme dated 30th June 2023 attended by the SAE and SPO	1
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	The LG did not have a training database and therefore no training activities were documented.	0

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 - 75% capital development; and 25% complementary services): Score 2 or else 0	From the approved supplementary budget for current FY 2023/2024 endorsed by CAO on 25th October 2023, total allocation for MSI was UGX 619,894,754 and appropriated as follows Capital development was UGX 464,921,065.5 representing 75% (464,921,065.5 /619,894,754 x100) Complementary services were Ugx 154,973,688.5 representing 25% (90,860,335/619,894,754 x100)	2
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>From the approved supplementary budget for current FY 2023/2024, total allocation for MSI complementary services was UGX 154,973,688.5 and appropriated inline with sector guidelines as follows</p> <p>Enhancing LG capacity to support irrigated agriculture (25%) UGX 38,743,418</p> <p>a. Awareness raising of local leaders (15%) UGX 23,246,053</p> <p>b. Procurement, monitoring & supervision (10%) UGX 15,497,365</p> <p>Enhancing farmer capacity for uptake of microscale irrigation (75%) UGX 116,230,270.5</p> <p>{Awareness raising of formers (30,994,736), farm visits (23,246,052), demonstrations (30,994,458), farmer field schools (30,994,736) }</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>The LG provided evidence as extracted from the Namutumba DLG Annual budget and workplan for current FY 2023/2024 page 30, LG budgeted UGX 122,499,998,5 for co-funding</p>	2
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>Not applicable for phase II Districts for which Namutumba was.</p>	0
Maximum score 10				

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>The LG provided evidence according to minutes of DTPC meeting held on 20th October 2022 at the District council hall under minute 06/DTPC/20/10/2022. The DPO shared about the available co-funding options and the percentages the farmers would pay depending on the preferred installation i.e. 25% of the cost for solar- powered system and 75% of the cost for petrol powered system and a value cap of the subsidy of UGX 18,000,000 for solar pump system and UGX 5,000,000 for petrol pump system.</p>	2
	<p>Maximum score 10</p>			
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p>	<p>The MSI equipment at the four demonstration sites were installed/commissioned on 15th June 2023, only one monthly monitoring report dated 20th February 2023 was reviewed by the assessment team out of 5 expected for the period ending 30th June 2023, therefore the assessment team could not verify whether monthly monitoring was conducted for months of March to June of previous FY 2022/2023</p>	0
	<p>Maximum score 8</p>	<ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 	<p><u>1</u> X 100</p>	
		<ul style="list-style-type: none"> • 70-89% monitored score 1 	<p>5</p>	
		<p>Less than 70% score 0</p>	<p>= 20%</p>	
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>The assessment team interviewed host farmers (Mutalya Christopher, Dauson Musasizi and Ivaibi Charles) at Namutumba seed school, Nsimze, Kizuba, Ivukula sub-counties which revealed they were knowledgeable on equipment operation and maintenance</p>	2
	<p>Maximum score 8</p>			

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	Interviews with sampled extension workers were conducted and revealed that the LG provided hands on support to Lower extension workers. The sampled extension workers included;	2
	Maximum score 8		1.Manyasi Fahadi, Agriculture Officer at Nangonde Subcounty 2.Mugomba Ivan, Agriculture Officer at Ivukula Subcounty 3. Nyombi Abdul, Agriculture officer at Namutumba T/C	
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	Not applicable for phase II LGs for which Namutumba DLG was.	0
	Maximum score 8			
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	The LG availed evidence to the assessment team to show that activities to mobilise farmers were conducted in form of;	2
	Maximum score 4		Report on farmer field day at Busoga farmers resource irrigation demo site dated 21st March 2023, 78 farmers participated Report on sensitisation of farmers on MSI programme at Namutumba sub-county dated 15th March 2023	

11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	<p>The LG provided evidence that District Staff and political leaders were trained on MSI in form of training reports, examples of reviewed reports by the assessment team included;</p> <p>Report on awareness raising of local leaders for LLGs on MSI dated 17th March 2023 and the participants included, SASs, CDOs, LC III chairpersons and their executives, parish chiefs etc</p> <p>Training report of the District Technical and Political leaders on MSI awareness raising dated 28th November 2022 and the participants included Heads of Departments, District Executive Committee, Diso, RDC, CAO</p>	2
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Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>The LG did not have an assets register for MSI equipment at the time of assessment</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>The assessment team reviewed data on Irrtrack/MIS and the current status of farmers EOIs was 240 successful out of total submission 281 and this was last updated on 17th November 2023 with additional of one farmer by the name Mugoya Geoffrey NIN CM86075101QMNE</p>	2

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>There was evidence to show that the LG carried out farm visits as indicated in the following reports</p> <p>Farm visit report for farmers with EOIs compiled by Agricultural Officer Magada Subcounty dated 22nd February 2023 showing 20 farmers were visited</p> <p>Farm visit report for farmers with EOIs compiled by Agricultural Officer Mazuba Subcounty dated 8th May 2023 showing 10 farmers were visited</p> <p>Farm visit report for farmers with EOIs compiled by Agricultural Officer Kibaale subcounty dated 30th June 2023 indicating 25 farmers were visited</p>	2
	<p>Maximum score 8</p>			
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>A visit on the District notice board, Nsinze S/C , Namutumba S/C and Kizuba T/C noticed boards found no lists of eligible farmers displayed</p>	0
	<p>Maximum score 8</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>The approved procurement plan for the current financial year 2023/2024 dated 1st August 2023 was incorporating micro scale irrigation systems as shown below.</p> <ul style="list-style-type: none"> ● Supply of the following items under MSIP,50 water tanks,50 water pumps, assorted distribution pipes and 50 tank stands at Ugx 825,510,393 on page 3 	1
	<p>Maximum score 18</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>The previous financial year the LG implemented micro scale demonstrations to 4 model farmers .</p> <p>However there was a list provided from MAAIF for the prequalified suppliers as listed below;</p> <ol style="list-style-type: none"> 1. Refiefine Uganda Ltd 2. Anene Consulting Engineers Ltd 3. Grow more seeds and chemicals Ltd 4. Baata Engineering co.Ltd 5. Akvo International SMC Ltd 6. Mpiiha Technical and Engineering (U)Ltd 7. Techsys Limited 8. Nasmaf General Contractors (U) Ltd 9. Victoria Enviras Consults Ltd 10. Busoga Seeds Solutions Ltd 11. W.Water Works Ltd 12. Techford Contractors and Suppliers Ltd 13. Science corner engineering Ltd 14. Brueilex group of companies Ltd 15. Gako Joint Venture Project. <p>The LG did not request quotations from the suppliers because they were only demonstrations.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>The LG attracted 3 suppliers for the demos at the 4 model farmers sites that is;</p> <ol style="list-style-type: none"> 1. M/s X.pointer Services Ltd 2. M/s Grow more seeds and chemicals and 3. M/s Alison consults Ltd. <p>The evaluation was made according to criteria's the LG set and the successful bidder (M/s X.pointer Services Ltd) was selected under Min.03/NDCC/4/07/2022-23 on 4th July 2022</p>	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0	The response bidders for the demonstration were 3. 1. M/s X-Pointer Services Ltd 2. M/s Grow more seeds and chemicals Ltd 3. M/s Alison Consult Ltd.	1
	Maximum score 18		The Contracts Committee approved M/s X-Pointer was the successful bidder with the lowest bidding price Ugx 80,249,000 as compared to the other two	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	There was evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier There was three response bidders for the demonstration; 1. M/s X-Pointer Services Ltd 2. M/s Grow more seeds and chemicals Ltd 3. M/s Alison Consult Ltd.	2
	Maximum score 18		The Contracts Committee approved M/s X-Pointer was the successful bidder with the lowest bidding price Ugx 80,249,000 as compared to the other two	
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	The LG did not avail copies of design output sheets to assessment team for review, therefore the assessment team could not verify whether the installed msi equipment was in line with the design.	0
	Maximum score 18			

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	<p>The LG provided evidence to show that regular technical supervision of MSI demonstration sites by relevant staff were conducted and this was captured in the supervision report for installation of MSI demonstration sites at Ivukula, Kizuba, Namutumba and Nsinze sub counties dated 17th February 2023, the team included DPO, Senior Agricultural Engineer, SAO, Environment Officer and Principal Commercial officer</p> <p>A review of the site books for Nsinze, Ivuluka, Namutumba and Kizuba demonstration sites showed that the Senior Agriculture Engineer visited site on 13th January 2023, 2nd March 2023, 13th March 2023, 12th April 2023 and 23rd May 2023</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>A review of the site books by the assessment team confirms that the DPO and Senior Agricultural Engineer present at the site during the testing and handover of the demonstration sites by the contractor on 13th January 2023 at Namutumba and Kizuba demonstration sites.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0</p>	<p>The assessment team reviewed a copy of commissioning report for the MSI demonstration sites dated 25th January 2023 and key participants included LCV Chairperson, CAO, DEC, DPO, Diso, RDC, SAE, CFO, etc</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0</p>	<p>The LG made payment of the equipment supplier with the specified timeframe as per payment voucher No 9858233 amounting to UGX 80,249,000 to M/s X-pointer Services Ltd for installation of MSI equipment at Ivukula, Nsinze, Namutumba and Kizuba demonstration sites, the contractor submitted his payment request on 9th May 2023 and payment was effected on 15th June 2023</p>	2

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0</p>	<p>There was evidence for a complete procurement file for micro scale irrigation systems as shown below;</p> <p>Supply and installation of irrigation kits to four model farmers.</p> <p>Procurement ref no.Namu574/sulps/2022-23/00015.The contract signed with the LG was on 31st October 2022 with M/s.X-Pointer Services Ltd, the evaluation report was approved on 29th August 2022 and minute of the contracts committee Min.11/ndcc/16/09/2022-23-iv on 16th September 2022.</p>	2
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>A check on administration notice board and production department notice board and all visited sub-counties headquarters, there was no posting of grievance redress avenues seen.</p>	0
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The LG had Grievance log where grievances could be recorded. However on review of the log book at the time of assessment there were no grievances recorded. This was attributed to the fact that the irrigation projects never attracted complaints from the farmers who had willingly applied for the MSI projects and offered land where the investments were implemented.</p>	1
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was no grievance investigated since there was no grievance reported and recorded under the sector in FY2022/2023.</p>	1

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was no grievance responded to since there was no grievance reported, recorded and investigated under the sector in FY2022/2023.</p>	1
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>The LG had log for grievances and grievance redress framework at the time of assessment there were no grievances reported and recorded since the farmers had implemented projects on their land.</p>	1
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Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	There was evidence of dissemination of MSI guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. as per the examples below; At Kizuba village Kizuba sub-county in Namutumba DLG on 24th July 2023 which resulted into signing an MoU for MSI installation signed between Ivaibi Charles (host farmer) and CAO At Namutumba village Namutumba sub-county in Namutumba DLG on 6th July 2023 which culminated into signing an MoU for MSI installation between Namutumba Seed school (host farmer) of and CAO. At Namboote village Nsinze sub-county in Namutumba DLG on 30th June 2023 which resulted into signing an MoU for MSI installation signed between Dauson Musasizi (host farmer) and CAO. At Budomero village Ivuluka sub-county of Namutumba DLG on 14th July 2023 which resulted into signing an MoU for MSI installation between Mutalya Christopher (host farmer) and CAO	2
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	There was no documentary evidence for incorporation of costed ESMPs into the BoQs and contractual documents for all the 4 micro-scale irrigation installed equipment at different farms in the FY2022/23 that was under review.	0

15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	<p>The monitoring report dated 24th/05/2023 for the installation of micro-scale irrigation equipment were presented as proof that monitoring of irrigation impacts was undertaken during the previous FY;</p> <ol style="list-style-type: none"> 1. Dauson Musasizi's demonstration farm in Namboone village Nsinze sub-county 2. Mutalya Christopher's demonstration farm in Budomero village Ivukula sub-county 3. Namutumba SEED S.S demonstration farm in Namutumba sub-county 4. Ivaibi Charles' demonstration farm in Kizuba village Kizuba sub-county <p>The recommendations made included; proper waste management and disposal, establish farmers sensitization for better management of their sites as per the reports dated 24th/05/2023 prepared by the environment officer and DCDO.</p>	1
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was no documentary evidence for certification of works for compliance by the environment officer for all the projects that were undertaken in the FY2022/2023	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was no documentary evidence for certification of works for compliance by the CDO for all the projects that were undertaken in the FY2022/2023	0

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The position of Chief Finance Officer was substantively filled by Mr. Basalirwa George who was appointed on 26th February, 2008 through DSC Min. No. 10/DSC/2008.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The position of District Planner was substantively filled by Mr. Naabye Henry who was appointed on 19th December, 2019 through Min. NTB/DSC/222/13/12/2019 (1).	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The position of District Engineer was not substantively filled and there was no formally seconded staff from the Central Government for that position. However, Ms. Baabita Harriet a substantive Senior Engineer appointed on 20/9/2019 under Min. NTB/DSC/196/13/09/2019(1) was assigned additional duties of the District Engineer on 4th January, 2021 under Min. DSC/264/22/12/2020 (a) (1).	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The position of District Natural Resources Officer was substantively filled by Mr. Ikaaba Dauda who was appointed on 29/10/2021 under Min. NTB/DSC/301/22/10/2021(b) (3).	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The position of District Production Officer was substantively filled by Mr. Musita Augustus who was appointed on 8th January, 2019 vide Min. NTB/DSC/139/18/12/2018(b) (2).	3

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The position of District Community Development Officer was substantively filled by Mr. Babalanda Khalif- Al- Hadard who was appointed on 27/12/2021 vide Min. NTB/DSC/3/1/20/12/2021(b) (2).	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The position of District Commercial Officer was substantively filled by Mr. Waako Stephen who was appointed on 29/10/2021 vide Min. NTB/DSC/301/1/22/10/2021(a)1.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	The position of Senior Procurement Officer was substantively filled by Mr. Kisanafu Yusuf who was appointed on 10/4/2014 vide Min. NTB/DSC/157/19/3/2014(1)(i).	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	The position of Procurement Officer was substantively filled by Ms. Teefe Suzan who was appointed on 12/3/2019 as was directed by Min. NTB/DSC/170/01/03/2019(ii) c 1.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	The position of Principal Human Resource Officer was substantively filled by Ms. Kayoya Zainabu, who was appointed on 22nd May, 2018 vide Min. NTB/DSC/112/14/05/2018(b) 5.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	The position of Senior Environment Officer was vacant and there was no formal secondment from Central Government at the time of assessment	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The position of Senior Land Management Officer was not substantively filled and there was no formally seconded staff from the Central Government for that position. However, Mr. Samanya Paul a substantive Physical Planner appointed on 15/4/2015 under Min. NTB/DSC/198/19/3/2015(e)1 was assigned additional duties of Senior Land Management Officer as per the CAO's letter dated 29/1/2019.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	The position of Senior Accountant was substantively filled by Ms. Kiwala Betty who was appointed on 22nd November, 2023 as was directed by Min. No. NTB/DSC/399/22/11/2023.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	The position of Principal Internal Auditor was not substantively filled and there was no formally seconded staff from the Central Government for that position. The district awaits recruitment for replacement following the retirement of the former Principal Internal Auditor Mr. Zimba Moses effective 31st/7/2023.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	The position of Principal Human Resource Officer (Secretary DSC) was substantively filled by Mr. Ivaibi Charles wjho was appointed on 20th April, 2017 vide NTB/DSC/9/11/01/2017(a).	2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

Namutumba DLG had 10 approved LLGs according to their staff establishment structure dated September, 2016.

In reference to the structure and appointment letters reviewed, it was evident that the DLG had substantively recruited 8 SAS and 1 Town Clerk.

Also noted was that one (1) substantive parish chief was assigned duties of Ag. SAS in Mazuba S/C as indicated below:

1.Kabakubya Samuel, the Principal Township Officer in Namutumba T/C was appointed on 13/6/2017 under Min. No. NTB/DSC/49/02/06/2017.

2.Balimumiti Ali, the SAS, (Bulange S/C) WAS appointed on 4/6/2015 under Min. No. NTB/DSC/218/1/06/2015 (v).

3.Mugoya Allan, the SAS (Magada S/C) was appointed on 4/6/2015 under Min. NTB/DSC/218/1/06/2015 (iv).

4.Mwemeka Juliet, the SAS, (Nabweyo S/C) was appointed on 13/6/2017 under Min. No. NTB/DSC/45/02/06/2017 (a) (i).

5.Kwajja Bumali Hissa, the SAS (Kibaale S.C) was appointed on 5/4/2017 under Min. No. NTB/DSC/38/30/03/2017 (a) (1).

6.Basiima Rachael, SAS (Nangode S/C) was appointed on 31/5/2023 under Min. No. NTB/DSC/373/23/05/2023 (a) (1).

7.Kagere Umar, the SAS (Nsinze S/C) was appointed on 11/10/2017 under Min. no. NTB/DSC/65/05/10/2017 (3).

8.Mukama Asani, SAS (Ivukula S/C) was appointed on 29/10/2021 under Min. No. NTB/DSC/299/22/10/2021(i) 13.

9.Mugoya Daniel, SAS (Namutumba S/C) was appointed on 5/4/2017 under Min. No. NTB/DSC/38/30/03/2017(a) (3).

10.Wakabi Nathan was the Ag. SAS (Mazuba S/C) assigned on 5/7/2013. However, he was a substantive parish chief appointed on 17/7/2013 under Min. No. NTB/DSC/98/25/6/2013.

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

Namutumba DLG had 10 approved LLGs according to their staff establishment structure dated September, 2016.

In reference to the structure and appointment letters reviewed, it was evident that the DLG had substantively recruited 9 CDOs and one SCDO as detailed below:

1. Aliba Lydia, CDO deployed at Nangode S/C was appointed on 15/4/2015 under Min.NTB/DSC/198/3/2015(f) 1.

2. Muguywa Enock, CDO deployed at Ivukula S/C was appointed on 5/4/2017 under Min.NTB/DSC/38/30/03/2017(e) 1.

3. Nakaziba Mary, the CDO working at Mazuba S/C was appointed on 5/4/2017 under Min.NTB/DSC/38/30/03/2017(e) 2.

4. Kairu Nicholas, CDO deployed at Kibaale S/C was appointed on 14/12/2015 as was directed by Min.NTB/DSC/237/10/11/2015(i).

5. Luvunya Davis Elly, CDO at (Magada S/C) was appointed on 18/2/2015 under Min. NTB/DSC/188/19/12/2014(h).

6. Were Nelson, CDO at Namutumba S/C was appointed on 4/1/2021 under Min. No. NTB/DSC/262/22/12/2020 (b)(28).

7. Tafari Cissy was a CDO of Bulange S/C appointed on 1/7/2016 under Min. NTB/DSC/28/08/06/2016.

8. Waiswa Sulaiman, the CDO deployed at Nabweyo S/C was appointed on 10/4/2014 under Min.No. NTB/DSC/157/19/3/2014 (2) (i).

9. Mutesi Juliet was the SCDO working at Namutumba T/C appointed on 7/11/2017 under Min. Min. No. NTB/DSC/71/24/10/2017.

10. Musalirwa Hillary, the CDO at Nsinze S/C was appointed on 13/6/2017 under Min. No. NTB/DSC/45/02/06/2017 (b) (i).

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

Namutumba DLG had 10 approved LLGs according to their staff establishment structure dated September, 2016

Evidence showed that Namutumba DLG had both Senior Accounts Assistants and Accounts Assistants substantively recruited and in acting positions as per the following details:

The substantive included;

1.Nyiiri Patrick, the SAA of Magada S/C was appointed on 1/6/2009 as directed by DSC under Min.KLR/DSC/688 (n) 2009.

2.Gasatu Prossy, the SAA of Ivukula S/C was appointed on 8/1/2019 as directed by Min. No. NTB/DSC/141/18/12/2018 (b) (4).

3.Nabirye Rebecca, an AA deployed at Nsinze S/C was appointed on 5/4/2017 under Min. NTB/DSC/38/30/03/2017 (b) (3).

4.Kalekwa Sylvia, an AA at Namutumba S/C was appointed on 5/4/2017 under Min. NTB/DSC/38/30/03/2017 (b) (1).

5.Babirye Sarah, the SAA at Kibaale S/C was appointed on 8/1/2019 under Min. NTB/DSC/139/18/12/2018 (c) (4).

6.Namansi Adiya, an AA deployed at Namutumba TC was appointed on 4/1/2021 under Min. No. NTB/DSC/262/22/12/2020(b) (32).

7.Kagoma Simon Peter, the SAA at Nabweyo S/C was appointed on 5/4/2017 under Min.NTB/DSC/38/30/03/2017 (b) (2).

8.Mwase Joseph, an AA at Mazuba S/C was appointed on 5/4/2017 under Min. NTB/DSC/38/30/03/2017 (b) (4).

9.Kagulire Fred, the SAA at Nangode S/C was appointed on 17/6/2014 under Min. NTB/DSC/169/13/6/2014 (1).

Among the Acting were;

1.Namwano Samuel, the SAA in Bugobi SC was appointed on 17/6/2104 under Min.No. NTB/DSC/169/13/6/2014 (4). He was however assigned to care take Bulange S/C on 10/10/2022.

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	There was evidence of 100% release of the funds allocated to the Natural Resources Department in the previous FY2022/23, the amount warranted was UGX. 182,881,981 and the department received 100% of the warranted amount UGX. 182,881,981 as indicated on page 28 of the draft financial statement ended 30th/06/2023 FY 2022/23 approved by the CAO on 30th/08/2023.	2
	Maximum score is 4	a. Natural Resources department, score 2 or else 0		
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	There was evidence of 100% release of the funds allocated to the Natural Resources Department in the previous FY2022/23, the amount warranted was UGX. 150,971,013 and the department received 100% of the warranted amount UGX. 150,971,013 as indicated on page 28 of the draft financial statement ended 30th/06/2023 FY 2022/23 approved by the CAO on 30th/08/2023.	2
	Maximum score is 4	b. Community Based Services department. score 2 or else 0.		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening,	There were DDEG funded projects which included; partial fencing of the District Headquarters and Remodelling of the District council hall.	0
	Maximum score is 12	score 4 or else 0	However, there was no evidence E&S screening for the projects.	
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),	The DDEG financed project did not require ESIAs, this was in reference to the National Environment Act 2019 under schedule 4 part 2 which consist of projects with simple environment and social measures and the minimal level of impacts and require ESMPs.	0
	Maximum score is 12	score 4 or 0	However, there was no screening and formulation of the ESMPs as required.	

4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);; score 4 or 0	There were no costed ESMPs for the DDEG funded projects in the FY2022/23. partial fencing of the District headquarters and Remodelling of the District council hall.	0
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Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY. Maximum score is 10	If a LG has a clean audit opinion, score 10; If a LG has a qualified audit opinion, score 5 If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0	The LG obtained Unqualified audit opinion on its operations during FY 2022/2023.	10
6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015). maximum score is 10	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g), score 10 or else 0.	The District provided information to the PS/ST on the status of implementation of Auditor General's findings for FY 2021/2022 though a letter Ref. CR/Fin/103/3 on 15th/12/2022 and received in the Ministry on 3rd/2/2023. Since the information was provided before end of February, the District was compliant as required by PFMAs. 112g..	10
7	Evidence that the LG has submitted an annual performance contract by August 31st of the current FY Maximum Score 4	If the LG has submitted an annual performance contract by August 31st of the current FY, score 4 or else 0.	The approved annual performance contract for FY 2023/2024 was submitted via PBS to the MoFPED on 30/6/2023, a date before August 31st as required.	4

8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The annual performance contract for FY 2022/2023 was submitted to MoFPED on 19/9/2023. This date was after August 31st and for this matter, the District was not compliant.</p>	0
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The four quarterly budget performance reports were submitted to the MoFPED as follows: 1st Qt. report submitted on 9/1/2023, 2nd Qt. report on 15/2/2023, 3rd Qt. submitted on 19/9/2023 and the 4th Qt report submitted on 19/9/2023. Since all the four reports were not in by August 31st, 2023, the District was not compliant as required.</p>	0

**Education Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. <i>The Maximum Score of 70</i>	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	Mr. Isiko Mohammed, the DEO was substantively appointed on 8th January, 2019 as was directed by Min. No. NTB/DSC/139/18/12/2018 (a) (1).	30
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. <i>The Maximum Score of 70</i>	b) All District/Municipal Inspector of Schools, score 40 or else 0.	The Education inspectorate department had substantively appointed inspectors as listed below; 1. Kalisengawa Fred (Senior Inspector of Schools) was appointed on 27th/12/2021 vide minute DSC. NTB/DSC/310/20/12/2021(i) (i) 2. Mugoya Robert, (Inspector of Schools) was appointed on 27th/12/2021 vide minute DSC. NTB/DSC/310/20/12/2021(ii) (i) 3. Hamba Godfrey, (Inspector of Schools) was appointed on 2nd/3/2022 vide DSC Min. NTB/DSC/317/03/02/2022 4. Kirya Ronald, (Inspector of Schools) was appointed on 27th/12/2021 vide minute DSC. NTB/DSC/310/20/12/2021(ii) (iii)	40

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

The LG Filled Environmental, Social and climate change Screening Forms for all Education projects for the previous FY2022/23 such as;

Screening forms for the construction of 1 block of 5-stances of drainable pit latrines at;

1. Bugobi primary school in Bugobi town council prepared by the environment officer and DCDO on 24th/02/2023

1. Nangonde primary school in Nangonde town council prepared by the environment officer and DCDO on 20th/02/2023

2. Mawungwe primary school in Kizuba sub-county prepared by the environment officer and DCDO on 23rd/02/2023

3. Busini primary school in Nabweyo sub-county prepared by the environment officer and DCDO on 20th/02/2023

4. Igeera primary school in Kizuba sub-county prepared by the environment officer and DCDO on 24th/02/2023

5. Kirongo primary school in Ivukula sub-county prepared by the environment officer and DCDO on 20th/02/2023

6. Kasimizi primary school in Namutumba sub-county prepared by the environment officer and DCDO on 22nd/02/2023

7. Nakyere primary school in Kibaale sub-county prepared by the environment officer and DCDO on 21st/02/2023

8. Budunda primary school in Bulange sub-county prepared by the environment officer and DCDO on 23rd/02/2023

9. Nabuguzi primary school in Nabweyo sub-county prepared by the environment officer and DCDO on 21st/02/2023

10. Kategere primary school in Magada sub-county prepared by the environment officer and DCDO on 24th/02/2023.

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

All the Education projects in the LG did not require ESIAs, this was in reference to the National environment Act 2019 schedule 4, part 2 section (4) sub-section (d) for small projects that required ESMPs after screening.

15

The Maximum score is 30

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	The position of District Health Officer was substantively filled by Dr. Kiirya James who was appointed on 11th/5/2012 as was directed by Min.KLR/DSC/038/2012 (iv) 6.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	The position of Assistant District Health Officer Maternal Child Health and Nursing was substantively filled by Ms. Ssegonya Margaret who was appointed on 21st/5/2019 vide Min.NTB/DSC/181/17/05/2019 (b) (2).	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	The position of Assistant District Health Officer Environmental Health was substantively filled by Ms. Nakalema Suzan who was appointed on 21st/5/2019 as was directed by Min.NTB/DSC/181/17/05/2019 (b) (1).	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	The position of Principle Health Inspector was substantively filled by Mr. Kyakulanga Francis Cranmer who was appointed on 19th/12/2019 as was directed by Min.NTB/DSC/222/13/12/2019 (2).	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	The position of Senior Health Educator was substantively filled by Mr. Balisanyuka Richard who was appointed on 21st/5/2019 as was directed by Min.NTB/DSC/181/17/05/2019 (b) (3).	10

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>The position of Biostatistician was substantively filled by Mr. Isabirye Julius who was appointed on 3rd/7/2015 as was directed by Min.NTB/DSC/229/12/06/2015 (i) .</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>The position of District Cold Chain Technician was substantively filled by Mr. Malunda Gerald appointed on 2nd/12/2010 as was directed by Min.KLR/DSC/1062(ii) 2010/1 .</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. Principal Health Inspector, score 20 or else 0.</p>		
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. Health Educator, score 20 or else 0</p>		

Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p>	<p>If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>There was documentary evidence that the LG carried out Environmental, Social and Climate Change screening for the one health project implemented during the previous FY 2022/23 as shown below;</p> <p>Screening form for the construction of OPD, maternity ward, staff house, placenta pit, waste pit and pit latrine for the upgrade of Nangonde HCII to HCIII in Nangonde town council prepared on 27th/01/2023 by the DCDO and environment officer</p> <p>screening form for the fencing of Magada HCIII in Magada sub-county prepared on 28th/02/2023 by the DCDO and environment officer.</p> <p>Screening form for the renovation of DHO's office at the district headquarters Namutumba town council prepared on 28th/02/2023 by the DCDO and environment officer.</p> <p>Screening form for the construction of health facility store and placenta pit at Kiraga HCII in Nangonde sub-county by the environment officer and DCDO as per the report dated 28th/02/2023.</p> <p>Screening form for the partial fencing of Bulange HCIII in Bulange sub-county prepared on 28th/02/2023 by the DCDO and environment officer.</p>	15
Maximum score is 30				
2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>There was no requirement for Environment and Social Impact Assessments (ESIAs) for the health projects, this was in reference to the National Environment Act 2019 schedule 4, part 2 section (4) sub-section (e) which categorises projects that require ESMPs after screening.</p>	15
Maximum score is 30				

**Micro-scale Irrigation
Minimum Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>The position of Senior Agriculture Engineer was not substantively filled and there was no formally seconded staff from the MAAIF for that position.</p> <p>However, Mr. Byentaka Tonny who was substantively appointed as Agriculture Engineer on 28/4/2022 under Min. NTB/DSC/330/26/04/2022 (c) (iii) was the Ag. Senior Agriculture Engineer.</p> <p>He was assigned additional duties of Senior Agriculture Engineer on 20/4/2023.</p>	0
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening</p> <p><i>score 30 or else 0.</i></p>	<p>The LG carried out Environmental, Social and Climate Change screening for all the Micro-scale irrigation projects implemented in the FY2022/2023 which included;</p> <ol style="list-style-type: none"> 1. The screening forms for the installation of micro-scale irrigation equipment at Dauson Musasizi's demonstration farm in Namboone village Nsinze sub-county by the Environment officer and DCDO on 15th/07/2022 2. The screening forms for the installation of micro-scale irrigation equipment at Mutalya Christopher's demonstration farm in Budomero village Ivukula sub-county by the Environment officer and DCDO on 15th/07/2022 3. The screening forms for the installation of micro-scale irrigation equipment at Namutumba SEED S.S demonstration farm in Namutumba sub-county by the Environment officer and DCDO on 15th/07/2022 4. The screening forms for the installation of micro-scale irrigation equipment at Ivaibi Charles' demonstration farm in Kizuba village Kizuba sub-county by the Environment officer and DCDO on 15th/07/2022. <p>There was no requirement for Environment and Social Impact Assessments (ESIAs) for the micro-scale irrigation projects this was in reference to the National Environment Act 2019 schedule 4, part 2 section (4) sub-section(5c) which were small projects that require ESMPs after screening and had minimal impacts ESMPs were prepared for all the MSI projects.</p>	30

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The position of Civil Engineer (Water) was substantively filled by Mr Kagwa Henry who was appointed on 29/10/2008 as was directed by DSC Min. KLR/DSC/450/2008.	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The post of Assistant Water Officer for mobilization was filled on secondment. Ms. Aliba Lydia was assigned duties of Assistant Water Officer for mobilization as per the CAO's letter dated 15th/11/2016. However, Ms. Aliba Lydia was a substantive CDO appointed on 15th/4/2015 as was directed by DSC Min. NTB/DSC/198/19/3/2015 (f) 1.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The position of Borehole Maintenance Technician was substantively filled, The incumbent Mr. Mukama Samuel was appointed on 1st/3/2010 as was directed by DSC Min. KLR/DSC/871(j)/2010.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The approved staff structure for Namutumba DLG did not customise the position of Natural Resources Officer and instead it had the District Natural Resources Officer. Mr. Ikaaba Dauda (DNRO) was substantively appointed on 29/10/2018 under Min. NTB/DSC/139/18/12/2018 (b) (2).	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The position of Environment Officer was substantively filled, The incumbent Mr. Kiirya Moses was appointed on 27th/12/2019 as was directed by DSC Min.NTB/DSC/310/20/12/2021 (c) (3).	10

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>f. Forestry Officer, score 10 or else 0.</p>	<p>Mr. Bamusubire William the substantive forestry officer was appointed on 21st May, 2019 vide Min.NTB/DSC/181/17/05/2019 (c) 1.</p>	10
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Environment and Social Requirements

2	<p>Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>If the LG:</p> <p>a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.</p>	<p>The LG carried out Environmental, Social and Climate Change screening for the drilling, casting and installation of 17 boreholes in the FY2022/23 as shown below;</p> <p>Drilling, casting and installation of boreholes at</p> <ol style="list-style-type: none"> 1. Namavundu central village in Nsinze sub-county, 2. Namutumba HCIII in Namutumba town council 3. Nadwali central village in Mazuba S/C 4. Namwenda III village in Ivukula S/C 5. Buyanga primary school in Kagulu S/C 6. Butagal "A" village in Nawaikona S/C 7. Namakoko "A"village in Nangonde S/C 8. Kasozi-Mayuge village in Kibaale S/C 9. Igerera village in Kizuba S/C 10. Mbulaitakali village in Ivukula S/C 11. Nabilala Village in Bulange S/C 12. Bulembo east village in Ivukula S/C 13. Magada village in Namutumba T/C 14. Kyala village in Kiwanyi S/C 15. Namutumba SEED S.S in Namutumba S/C 16. Badatu village in Nabweyo S/C <p>prepared on 28th/2/2023 and 26th/02/2023 by DCDO and environment officer</p> <p>A costed ESMP of UGX.7,950,000 for the drilling, casting and installation of 17 boreholes in the sub-counties (Mazuba, Ivukula, Kagulu, Nawaikona, Nangonde, Kibaale, Kizuba, Bulange, Nabweyo, Namutumba, Kiwanyi and Namutumba T/C) where identified impacts included; vegetation loss,</p>	10
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loose muddy soils leading to erosion, crop destruction when accessing sites and mitigation measures; sign MoUs with the land owners, limit vegetation clearance to site, replace destroyed trees reuse the soil in levelling the ground prepared on 28th/02/2023.

2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	The water projects at the LG did not require Environment and Social Impact Assessments (ESIAs) in reference to the National environment Act 2019 schedule 4, part 2 sections (3a) which were categorized as small projects that required ESMPs after screening. The Impacts were identified and mitigation measures were suggested in the ESMPs.	10
2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.	There was evidence of a drilling permit since the projects required the contractor to have drilling permit as shown below; A drilling permit of number KAM 59/DP-01209/2022/RR valid for one year was issued to M/S East African Boreholes Ltd on 27th/06/2022 by the Director of Water development Eng. Joseph Oriono Eyatu. M/s East African Boreholes Ltd was contracted to drill, cast and install 17 deep well boreholes at the LG in the FY2022/2023	10